

**New Hampshire
Department of Education
Bureau of Student Support**

**SPECIAL EDUCATION COMPLIANCE &
IMPROVEMENT MONITORING REVIEW
REPORT**

**Winnisquam Regional School District
2019-2020**

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Compliance & Improvement Monitoring Visit
Conducted on November 19 & 21, 2019
Report Date: January 7, 2020

Overview of the Special Education Compliance & Improvement Monitoring Process

New Hampshire has a responsibility, under federal law, to have a system of general supervision that monitors the implementation of the Individuals with Disabilities Education Act (IDEA) by school districts. The general supervision system is accountable for enforcing IDEA, New Hampshire Statutes, and the New Hampshire Standards for the Education of Children with Disabilities (NH Standards) and for ensuring continuous improvement. As stated in section 616 of 2004 amendments to the IDEA, "The primary focus of Federal and State monitoring activities described in paragraph (1) shall be on -

- (A) Improving educational results and functional outcomes for all children with disabilities; and
- (B) Ensuring that States meet the program requirements under this part, with a particular emphasis on those requirements that are most closely related to improving educational results for children with disabilities."

There are eight components that comprise New Hampshire's (NH) general supervision system. It is important to note that although the components are separate, the components connect, interact and articulate requirements to form a comprehensive system. The general supervision system for NH has the following components:

- State Performance Plan (SPP)
- Policies, Procedures, and Effective Implementation
- Data on Processes and Results
- Targeted Technical Assistance and Professional Development
- Effective Dispute Resolution
- Integrated Monitoring Activities
- Improvement , Correction, Incentives and Sanctions
- Fiscal Management

The Special Education Compliance & Improvement Monitoring review is one method that the New Hampshire Department of Education (NHDOE), Bureau of Student Support (Bureau) utilizes to implement the general supervision system. The Special Education Compliance & Monitoring review is comprised of:

- Special Education Procedures and Effective Implementation
- District Special Education Forms and Effective Implementation
- Special Education Personnel
- Program Visits
- Identification of Recommended Preventive Actions
- Monitoring of Special Education Process
- Improvement, Correction, Assistance, and Enforcement

The intent of the Compliance & Improvement Monitoring review is to improve student outcomes for students with IEPs by:

- Ensuring districts understand and are implementing special education requirements in accordance with the New Hampshire Standards for the Education of Children with Disabilities, New Hampshire State Statutes, and IDEA; and
- Improving special education procedures, and practices.
- Identifying and supporting correction of noncompliance, consistent with Office of Special Education Programs (OSEP) Memo 09-02.

The Bureau of Student Support followed a standard process to select districts to participate in the Special Education Compliance & Improvement Monitoring review. Each district was sorted into one of six cohort groups based on size using the current October 1st fall enrollment. The Bureau utilized a multi-data approach which aligns with the OSEP differentiated monitoring approach to determine the district in each cohort group with the highest need based on the District Determinations; the State Performance Plan (SPP) Indicators: (4B) Suspension/Expulsion, (5A) Education Environments, (6A) Preschool Environments, (11) Child Find, and (12) Early Childhood Transition; and state special education complaints.

Once a district was selected, the Bureau contacted the district to discuss the Special Education Compliance & Improvement Monitoring review with the school administration. The Winnisquam Regional School District was selected through this process. The Winnisquam Regional School District is comprised of five schools: the Union Sanborn School with a grade span of preschool through grade 2, the Sanbornton Central School with a grade span of kindergarten through grade 5, the Southwick School with a grade span of grade 3 through grade 5, the Winnisquam Regional Middle School with a grade span of grade 6 through grade 8, and the Winnisquam Regional High School with a grade span of grade 9 through grade 12.

The Bureau also provided targeted professional development regarding the Special Education Compliance & Improvement Monitoring review process and completion of the self-assessment data collection form. The district was provided with a list of 38 students with disabilities representative of the schools based on grade level, disability, gender, special education program, and case manager. At the time of the selection of students, the information entered into the New Hampshire Special Education Information System (NHSEIS) indicated that there were 8 students enrolled in charter schools, 1 student placed by the district in approved out-of-state special education programs, and no students with disabilities in a district operated alternative program for the Winnisquam Regional School District. During the onsite visit, the monitoring team selected a total of 26 of the 38 student files to review.

The monitoring visit conducted on November 19 & 21, 2019 consisted of 3 NHDOE team members and one visiting special education administrator verifying district-identified evidence on the self-assessment data collection form. While the district completed the entire self-assessment, 13 out of 26 files reviewed covered Parts 1 & 3 (encompassing questions 1-2

and 31-64) the remaining 13 files that were reviewed covered Parts 2 & 4 (encompassing questions 3-30 and 65-70).

The district fall enrollment for October 1, 2018 showed that there was a total of 1,443 students enrolled in the Winnisquam Regional School District which had a grade span of preschool through grade 12. The Child Count for October 1, 2018 indicated that there were 242 students in the Winnisquam School District who were identified with disabilities receiving special education services.

The district was encouraged to invite their special education staff as well as related service providers and regular education staff, if appropriate, to attend the review. For the staff who attended the review, this provided another targeted professional development opportunity. Staff members were provided the opportunity to learn about implementing IDEA, New Hampshire Statutes, and the New Hampshire Standards for the Education of Children with Disabilities and to engage in a professional discussion of best practices for ensuring improved outcomes for students with disabilities.

The NHDOE review members for this Compliance & Improvement Monitoring review included: Joanne DeBello, Elizabeth Graichen and Amy Jenks. The special education administrator participating in this Compliance & Improvement Monitoring review was Terra Geer, Director of Special Education from Sunapee School District.

Special Education Procedures and Effective Implementation

Each district must have special education procedures and effective implementation of practices that are aligned and support the implementation of IDEA, New Hampshire Statutes, and the New Hampshire Standards for the Education of Children with Disabilities. As part of the special education Compliance & Improvement Monitoring review, the NHDOE reviewed the district's special education procedures plan for compliance.

The NHDOE reviewed the district's special education procedures plan for the following components.

- Procedures based on the current New Hampshire Standards for the Education of Children with Disabilities pursuant to Ed 1101.01
- Procedures for handling confidential information pursuant to Ed 1126.02(b)(3)(a):
 - Confidentiality Requirements pursuant to Ed 1119.01
- Due process guarantees pursuant to Ed 1126.02(b)(3)(b):
 - Referral and Disposition of Referral pursuant to Ed 1106.01 Process; Provision of FAPE
 - Procedural Safeguards pursuant to Ed 1120.05(c) Parent Refusal of Consent; Initiation of Due Process Hearings by LEA
 - Administrative Due Process Hearing Procedure pursuant to:
 - Ed 1123.02(a) Sequence of an Administrative Due Process Hearing
 - Ed 1123.03(a) Filing a Due Process Hearing Complaint
- Least restrictive environment processes pursuant to Ed 1126.02(b)(3)(c):

- Placement of Children with Disabilities pursuant to:
 - Ed 1111.01 Placement in the Least Restrictive Environment
 - Ed 1111.02 Continuum of Alternative Educational Environments
 - Ed 1111.03 Placement Decisions
 - Ed 1111.04 Home Instruction of School-Age Children with Disabilities
- Child find activities pursuant to Ed 1126.02(b)(3)(d):
 - Child Find pursuant to:
 - Ed 1105.01(b) Responsibilities of the Local Education Agency
 - Ed 1105.02 LEA Child Find Program
 - Ed 1105.03 Child Find For Children Placed in Homes for Children, Health Care Facilities, or State Institutions
 - Ed 1105.04 Child Find for Children Currently Receiving Family Centered Early Supports and Services
- Non-discriminatory testing practices pursuant to Ed 1126.02(b)(3)(e):
 - Evaluation Procedures pursuant to 34 CFR 300.304 (c)(1)(i), (ii) Other evaluation procedures
- IEPs pursuant to Ed 1126.02(b)(3)(f):
 - The Individualized Education Program pursuant to Ed 1109.06(a) Monitoring and Annual Review of IEPs

Based on the review of the Winnisquam Regional School District’s special education procedures plan, the NHDOE determined there were **7 findings of noncompliance** that must be corrected as soon as possible but no later than six months from the date of this report.

COMPLIANCE CITATIONS	AREA OF COMPLIANCE
1. Ed 1105.02	LEA Child Find Program
<p>Finding of Noncompliance: When the NHDOE was verifying the evidence of compliance, the special education procedures plan for the LEA Child Find Program did not have procedures for how the district will annually disseminate information regarding the Child Find Program. The district is responsible for ensuring that the following procedures are current with IDEA, NH State Statutes, and NH Standards.</p>	
<p>Corrective Action Regarding the Implementation of the Regulations: The Winnisquam School District must revise its special education procedures plan to reflect corrections for LEA Child Find Program to include all procedures for how the district will annually disseminate information regarding the Child Find Program, as well as inform staff of the new practice within 6 months of the date of this report.</p>	
<p>Provide the revised Winnisquam School District Special Education Procedures Plan to the NHDOE for subsequent review for verification of compliance, as well as the evidence of how school staff is informed of the new practice to the NHDOE as soon as possible but no later than 6 months from the date of this report.</p>	

COMPLIANCE CITATIONS	AREA OF COMPLIANCE
2. Ed 1105.02	LEA Child Find Program
<p>Finding of Noncompliance: When the NHDOE was verifying the evidence of compliance, the special education procedures plan for the LEA Child Find Program did not have procedures for annually contacting all community agencies and programs within its jurisdiction. The district is responsible for ensuring that the policies and procedures are current with IDEA, state laws and NH Standards.</p>	
<p>Corrective Action Regarding the Implementation of the Regulations: The Winnisquam School District must revise its special education procedures plan to include the district’s responsibility to annually contact</p>	

all community agencies and programs within its jurisdiction, as well as inform staff of the new practice within 6 months of the date of this report.

Provide the revised Winnisquam School District Special Education Procedures Plan to the NHDOE for subsequent review for verification of compliance, as well as the evidence of how school staff is informed of the new practice to the NHDOE as soon as possible but no later than 6 months from the date of this report.

COMPLIANCE CITATIONS	AREA OF COMPLIANCE
3. Ed 1119.01	Confidentiality Requirements
<p>Finding of Noncompliance: When the NHDOE was verifying the evidence of compliance, the special education procedures plan for Confidential Requirements did not have procedures for maintaining current names and positions of employees within the school district who may have access to personally identifiable information. The district is responsible for ensuring that the following procedures are current with IDEA, NH State Statutes, and NH Standards.</p>	
<p>Corrective Action Regarding the Implementation of the Regulations: The Winnisquam School District must revise its special education procedures plan to reflect corrections for Confidential Requirements to include all procedures for maintaining current names and positions of employees within the school district who may have access to personal identifiable information, as well as inform staff of the new practice within 6 months of the date of this report.</p>	
<p>Provide the revised Winnidquam School District Special Education Procedures Plan to the NHDOE for subsequent review for verification of compliance, as well as the evidence of how school staff is informed of the new practice to the NHDOE as soon as possible but no later than 6 months from the date of this report.</p>	

COMPLIANCE CITATIONS	AREA OF COMPLIANCE
4. Ed 1119.01	Confidentiality Requirements
<p>Finding of Noncompliance: When the NHDOE was verifying the evidence of compliance, the special education procedures plan for Confidential Requirements did not have the provision of parental rights to inspect and review records within 14 days of the request. Additionally, the special education procedure plan has an inaccurate statement that this right applies only before a meeting regarding the IEP or a hearing. The district is responsible for ensuring that the following procedures are current with IDEA, NH State Statutes, and NH Standards.</p>	
<p>Corrective Action Regarding the Implementation of the Regulations: The Winnisquam School District must revise its special education procedures plan to reflect the provision of parental rights to inspect and review records within 14 days of the request at any time and remove the inaccurate statement that this right applies only before a meeting regarding the IEP or a hearing, as well as inform staff of the new practice within 6 months of the date of this report.</p>	
<p>Provide the revised Winnisquam School District Special Education Procedures Plan to the NHDOE for subsequent review for verification of compliance, as well as the evidence of how school staff is informed of the new practice to the NHDOE as soon as possible but no later than 6 months from the date of this report.</p>	

COMPLIANCE CITATIONS	AREA OF COMPLIANCE
5. Ed 1120	Procedural Safeguards
<p>Finding of Noncompliance: When the NHDOE was verifying the evidence of compliance, the special education procedures plan described the district’s policy for Procedural Safeguards did not include a statement that the procedural safeguards will be provided in the native language or other mode of communication used by the parent. The district is responsible for ensuring that the policies and procedures are current with IDEA, state laws and NH Standards.</p>	
<p>Corrective Action Regarding the Implementation of the Regulations: The Winnisquam School District must revise its special education procedures plan to include a statement that the procedural safeguards</p>	

will be provided in the native language or other mode of communication used by the parent, as well as, inform staff of the new practice within 6 months of the date of this report.

Provide the revised Winnisquam School District Special Education Procedures Plan to the NHDOE for subsequent review for verification of compliance, as well as the evidence of how school staff is informed of the new practice to the NHDOE as soon as possible but no later than 6 months from the date of this report.

COMPLIANCE CITATIONS	AREA OF COMPLIANCE
6. Ed 1111	Placement in the Least Restrictive Environment
<p>Finding of Noncompliance: When the NHDOE was verifying the evidence of compliance, the special education procedures plan described the district’s policy for the continuum of alternative educational environments; however, referred to the incorrect NH Standard for home instruction, and incorrect NH Standards and table references for preschool and ages 6-21. The district is responsible for ensuring that the policies and procedures are current with IDEA, state laws and NH Standards.</p>	
<p>Corrective Action Regarding the Implementation of the Regulations: The Winnisquam School District must revise its special education procedures plan to reflect the correct NH Standards and corresponding table references of the continuum of alternative placements, as well as inform staff of the new practice within 6 months of the date of this report.</p>	
<p>Provide the revised Winnisquam School District Special Education Procedures Plan to the NHDOE for subsequent review for verification of compliance, as well as the evidence of how school staff is informed of the new practice to the NHDOE as soon as possible but no later than 6 months from the date of this report.</p>	

COMPLIANCE CITATIONS	AREA OF COMPLIANCE
7. Ed 1109.06(a)	Monitoring and Annual Review of IEPs
<p>Finding of Noncompliance: When the NHDOE was verifying the evidence of compliance, the special education procedures plan did not include provisions that, at least annually, the IEP team shall convene for the purpose of assessing the effectiveness of the present plan and to design an IEP, including extended school year services, if appropriate. The district is responsible for ensuring that the policies and procedures are current with IDEA, state laws and NH Standards.</p>	
<p>Corrective Action Regarding the Implementation of the Regulations: The Winnisquam School District must revise its special education procedures plan to reflect that, at least annually, the IEP team shall convene for the purpose of assessing the effectiveness of the present plan and to design an IEP, including extended school year services, if appropriate, as well as, inform staff of the new practice within 6 months of the date of this report.</p>	
<p>Provide the revised Winnisquam School District Special Education Procedures Plan to the NHDOE for subsequent review for verification of compliance, as well as the evidence of how school staff is informed of the new practice to the NHDOE as soon as possible but no later than 6 months from the date of this report.</p>	

District Special Education Forms and Effective Implementation

As part of the review of The Winnisquam Regional School District’s forms implementing the special education process, the NHDOE also looked for evidence that the policies and procedures were effectively being implemented. The NHDOE reviewed the following district forms to ensure the implementation of the special education process:

- Record of Access pursuant to 34 CFR 300.614; Ed 1119.01(a)
- Notice of Special Education Meeting pursuant to 34 CFR 300.322; Ed 1103.02
- Parental Permission to Waive Time Limits for Written Notice pursuant to Ed 1103.02(d)

- Written Prior Notice pursuant to 34 CFR 300.503; Ed 1120.03
- Specific Learning Disability Eligibility Determination Documentation pursuant to 34 CFR 300.311; Ed 1107.02
- Evaluation Report pursuant to Ed 1107.05(a),(b)
- Procedural Safeguard Notice pursuant to 34 CFR 300.504; Ed 1120
- Age of Majority pursuant to 34 CFR 300.320(c) and 34 CFR 300.520; Ed 1120.01(b)
- Annual Notification when Proposing Accessing Public and Private Insurance pursuant to 34 CFR 300.154(d)(2)(v); Ed 1120.08(a)

Based on the review of the Winnisquam School District's special education forms, the NHDOE determined that there were **no findings of noncompliance**.

Special Education Personnel

The NHDOE reviewed the Winnisquam Regional School District special education staff certifications using the New Hampshire Educator Information System. The review process was for special education staff employed during 2019-2020 school year.

The data for Winnisquam Regional School District was generated on October 14, 2019. Each special education staff member's endorsement was compared to the subject/assignment. This process was used for special educators who hold Education Intern License 4 (INT4), Beginning Educator Certification (BEC) and Experienced Educator Certification (EEC). If the endorsement was appropriate to the subject/ assignment then the renewal date of the endorsement was verified to ensure that the endorsement was current.

If there was a discrepancy between endorsement and the subject/assignment, the district was given an opportunity to verify the data. If the discrepancy could not be resolved a finding of noncompliance was made based on Personnel Standards pursuant to Ed 1113.12, 34 CFR 300.18, and 34 CFR 300.156.

Based on the review of the Winnisquam Regional School District's special education staff certifications, the monitoring team determined there were **no findings of noncompliance**.

Equitable Services

The NHDOE reviewed the Winnisquam Regional School District for provision of equitable services for students who are parentally placed in non-profit/non-public (private) schools, including religious, elementary schools and secondary schools geographically located in the school district per 34 CFR 300.130-300.144.

As part of the special education Compliance & Improvement Monitoring review, the NHDOE reviewed the following:

- A district's record keeping of students evaluated, determined to be children with disabilities and children served pursuant to 34 CFR 300.132(c);
- Meaningful consultation with private schools and parents pursuant to 34 CFR 300.131-300.132; 34 CFR 130.134; and 34 CFR 300.142;

- The child find process and evaluation as it pertains to students parentally placed in private schools pursuant to 34 CFR 300.131;
- Service plans: team meeting participants, review of service plans; and services pursuant to 34 CFR 300.130 – 144.

The Winnisquam Regional School District has two non-profit/non-public (private) schools geographically located within its jurisdiction, including religious, elementary schools and secondary schools; Sant Bani School and Tilton School. At the time of the onsite visit, there were no students with disabilities parentally placed at these non-profit/non-public (private) schools eligible for equitable services with service plans.

Based on the review of the Winnisquam School District’s provision of equitable services at two non-profit/non-public (private) schools, the NHDOE determined there were **4 findings of noncompliance** that must be corrected as soon as possible, but no later than three months from the date of this report:

COMPLIANCE CITATIONS	AREA OF COMPLIANCE
1. 34 CFR 300.134	Consultation
<p>Finding of Noncompliance: When the NHDOE was verifying the evidence of compliance for equitable services, the meaningful consultation did not include the proportional share of monetary funds reserved for students parentally placed in nonpublic/nonprofit (private) schools from the current IDEA grant, and how services will be apportioned if funds are insufficient for all parentally placed students. The district is responsible for ensuring that the equitable services are adhered to based upon IDEA, state laws and NH Standards.</p>	
<p>Corrective Action Regarding the Implementation of the Regulations: The Winnisquam School District must provide the NHDOE with evidence that resolves the discrepancies with meaningful consultation related to proportional share and insufficient funding. Provide the updated information to the NHDOE as soon as possible, but no later than 3 months from the date of this report.</p>	

COMPLIANCE CITATIONS	AREA OF COMPLIANCE
2. 34 CFR 300.134	Consultation
<p>Finding of Noncompliance: When the NHDOE was verifying the evidence of compliance for equitable services, the meaningful consultation did not include information on how the district resolves the discrepancies with meaningful consultation related to the process and operation among representatives of the nonprofit/nonpublic (private), schools, the district, and parents for consultation. The district is responsible for ensuring that the equitable services are adhered to based upon IDEA, state laws and NH Standards.</p>	
<p>Corrective Action Regarding the Implementation of the Regulations: The Winnisquam School District must provide the NHDOE with evidence that resolves the discrepancies with meaningful consultation related to the process and operation among representatives of the nonprofit/nonpublic (private), schools, the district, and parents for consultation. Provide the updated information to the NHDOE as soon as possible, but no later than 3 months from the date of this report.</p>	

COMPLIANCE CITATIONS	AREA OF COMPLIANCE
3. 34 CFR 300.132; 34 CFR 300.134; 34 CFR 300.142	Provision of Services; Consultation; Use of Personnel

Finding of Noncompliance: When the NHDOE was verifying the evidence of compliance for equitable services, the meaningful consultation did not include information regarding how, where, and by whom services will be provided, and how and when decisions regarding services will be made. The district is responsible for ensuring that the equitable services are adhered to based upon IDEA, state laws and NH Standards.

Corrective Action Regarding the Implementation of the Regulations: The Winnisquam School District must provide the NHDOE with evidence that resolves the discrepancies with meaningful consultation related to information regarding the provision of services. Provide the updated information to the NHDOE as soon as possible, but no later than 3 months from the date of this report.

COMPLIANCE CITATIONS	AREA OF COMPLIANCE
4. 34 CFR 300.132; 34 CFR 300.134	Provision of Services; Consultation
<p>Finding of Noncompliance: When the NHDOE was verifying the evidence of compliance for equitable services, the meaningful consultation did not include information pertaining to how the LEA will provide a written explanation to the private schools when disagreements occur between nonprofit/nonpublic (private) schools and the district regarding provisions of services. The district is responsible for ensuring that the equitable services are adhered to based upon IDEA, state laws and NH Standards.</p>	
<p>Corrective Action Regarding the Implementation of the Regulations: The Winnisquam School District must provide the NHDOE with evidence that resolves the discrepancies with meaningful consultation related to the provision of a written explanation for disagreements with nonprofit/nonpublic (private) schools regarding provision of services. Provide the updated information to the NHDOE as soon as possible, but no later than 3 months from the date of this report.</p>	

Program Visits

The purpose of the program visits has been to observe the district’s full range of opportunities for the child with a disability that cannot be met in a regular education setting. Per Ed 1111, districts shall ensure that children with disabilities are educated with children who do not have disabilities to the maximum extent appropriate and that removal from the regular educational environment occurs only when the nature or severity of the disability is such that education in regular classes with the use of supplementary aids and services cannot be achieved satisfactorily. School districts shall comply with the requirements of 34 CFR 300.115, relative to continuum of alternative placements.

Based on the review of the Winnisquam Regional School District’s special education programs, the NHDOE found that the school district was in compliance with Ed 1111.

Monitoring of Special Education Process

Districts are responsible for implementing the special education process in accordance with IDEA, New Hampshire Statutes, and the New Hampshire Standards for the Education of Children with Disabilities. The self-assessment data collection form highlights the district’s understanding of the requirements of IDEA and the New Hampshire Standards for the Education of Children with Disabilities and was reviewed during the monitoring visit. Each area of compliance on the self-assessment data collection form clearly outlines whether the compliance is either a requirement of both IDEA and the New Hampshire Standards or a requirement of solely the New Hampshire Standards for the Education of Children with Disabilities. During the monitoring visit, the compliance & improvement monitoring team

verified the evidence of compliance based on the review of the student file, using the district's self-assessment as a resource.

Based on this review, the compliance & improvement monitoring team identified findings of noncompliance with IDEA and the New Hampshire Standards for the Education of Children with Disabilities. The findings include the compliance citation, the area of compliance, the specific component of the regulation, and the required corrective actions, which include timelines for demonstrating correction of noncompliance. Student specific information is not included in the report but will be provided to the district's Special Education Director.

There are two main components to the corrective actions entitled, "*Corrective Action of Individual Instance of Noncompliance*" and "*Corrective Action Regarding the Implementation of the Regulations*". The first component, "corrective action of individual instance of noncompliance," is for any noncompliance concerning a child-specific requirement. There must be evidence that the district has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the district. These areas must be corrected as soon as possible with state timelines given in the report for each area. The NHDOE will return to the district, typically within three months of the date of the report, to verify compliance for each individual instance identified in the report. The second component, "corrective action regarding the implementation of the regulations" would typically involve the district's providing professional development training to appropriate staff with regards to areas found to be in noncompliance. The NHDOE will review updated data collected after the identification of noncompliance to demonstrate that the district is correctly implementing the specific requirement. This involves a follow-up on-site review of new student files, selected typically within seven to eight months of the original on-site compliance & improvement monitoring.

Overview of the Student Specific Findings of Noncompliance

The chart below identifies the area of compliance based on student files that were reviewed by the compliance & improvement monitoring team during the onsite visit. The chart is broken down into the **compliance citations** and **area of compliance**. The compliance citations are based on the *CFR* found in the federal regulations of IDEA and the *Ed* found in the administrative rules of the New Hampshire Standards for the Education of Children with Disabilities. The chart aligns the regulatory components to the numbered questions in the self-assessment. Regulatory components and self-assessment numbers are bolded in instances where noncompliance was noted by the Compliance & Improvement Monitoring team.

The **review status** identifies the **number of files reviewed** for the self-assessment question as well as the number of files that were found to be in compliance. For example "*1 out of 3 files demonstrated a record of parties that have obtained access to the education records collected or used under Part B of the Act. For student files A & B, there was insufficient evidence demonstrating compliance with this requirement* " This means that 3 files were reviewed and 1 file was found to be in compliance and students A & B were found to be noncompliant.

In cases where there was a finding of noncompliance for a particular student, the chart identifies the **First Stage Corrective Action of Student Specific Instance(s) of Noncompliance**. In the case of an individual instance of noncompliance, the corrective action would generally involve the IEP team convening to resolve the finding of noncompliance. Timelines for these corrective actions are also noted. For the First Stage Corrective Actions, the NHDOE will return to the district within three months following the district receiving written notification of noncompliance (the report) to review all student files in which there were findings of noncompliance in order to verify compliance with the corrective action stated in the report.

In cases where there was a finding of noncompliance for a particular student, the next section of the chart identifies the **First Stage Corrective Action Regarding the Implementation of the Regulation**. This section will also include a reference to a student file that was used as evidence to support the noncompliance of the regulation, if applicable. This section informs the district of any practices or procedures which need to be corrected as well as trainings for staff to inform them of the corrections as a result of the findings of noncompliance. The required corrective action by the district and a timeline for the corrective action is also provided.

In cases where there was a finding of noncompliance for a particular student, the final section of the chart identifies the **Second Stage Corrective Action Regarding the Implementation of the Regulation**. Identified in this section will be the number of new student files that will be selected at each school to demonstrate correct implementation of the regulations for the section of the self-assessment in which noncompliance was found. For the Second Stage Corrective Actions, the NHDOE will verify compliance through a subsequent on-site review of the new files within five to six months from the date of the report. **The total number of student files selected for the Second Stage Corrective Action Regarding the Implementation of the Regulation will not exceed the original number of files reviewed at each school.**

Student Specific Findings of Noncompliance

When determining compliance, the compliance & improvement monitoring team reviews the currently agreed upon/signed IEP at the on-site monitoring visit. During the compliance review visit, there was **1 file** which could not be reviewed for sections E (#13), G (#24-30), H (#31-35), I (#36), J (#37-39), K (#40), L (#41-43), M (#44-53), N (#54), and O (#55-57). As there was no parent and/or LEA signature indicating consent / approval of the provisions of the IEP for student file **S** there was insufficient evidence demonstrating compliance with these requirements.

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.614 Ed 1119.01(a)		A. Record of Access; Confidentiality Requirements
Self-Assessment Question Number & Regulatory Component		Review Status
1.	34 CFR 300.614 Ed 1119.02(a)	13 out of 13 files demonstrated evidence of a record of parties that have obtained access to the education records collected, maintained or used under Part B of the Act.

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.504(a) Ed 1120.03(b)		B. Procedural Safeguards
Self-Assessment Question Number & Regulatory Component		Review Status
2.	34 CFR 300.504(a) Ed 1120.03(b)	12 out of 13 IEP files demonstrated evidence that a copy of the procedural safeguards , available to the parents of a child with a disability, was given to the parent one time in the school year. For student O there was insufficient evidence demonstrating compliance with this requirement.
<p>First Stage Corrective Action of Student Specific Instance(s) of Noncompliance: As soon as possible, but no later than two months from the date of this report, the district must provide evidence that a copy of the procedural safeguards, available to the parents of a child with a disability, was given to the parent one time in the school year.</p> <p>The NHDOE will verify this through a subsequent on-site review.</p> <p>First Stage Corrective Action Regarding the Implementation of the Regulations: Provide training to appropriate staff to ensure that a copy of the procedural safeguards, available to the parents of a child with a disability was given to the parent one time in the school year.</p> <p>Provide the dates, names of attendees, and a description of the trainings, which defines the district’s procedure for complying with this specific rule, to the NHDOE within three months from the date of this report.</p> <p>Second Stage Corrective Action Regarding the Implementation of the Regulations: The NHDOE will review 3 new student files at Winnisquam Regional Middle School for Self-Assessment Question 2 for updated data demonstrating compliance with this requirement.</p>		

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.306; 34 CFR 300.304 Ed 1108.01; Ed 1107.04		C. Evaluation; Determination of Eligibility for Special Education
Self-Assessment Question Number & Regulatory Component		Review Status
3.	34 CFR 300.306(a)(1) Ed 1108.01(a)	Upon completion of assessments, 13 out of 13 IEP files demonstrated evidence that a group of qualified professionals and the parent of the child determined whether the child is a child with a disability.

4.	34 CFR 300.304(c)(1)(iv) Ed 1107.04(b)	<p>12 out of 13 IEP files demonstrated evidence that qualified examiners for specific disabilities as set forth in Table 1100.1, administered the assessment.</p> <p>For student U there was insufficient evidence demonstrating compliance with this requirement.</p>
5.	34 CFR 300.306(c)(1)(i)	<p>11 out of 13 IEP files demonstrated evidence that the team drew upon, information from a variety of sources, including aptitude and achievement tests, parent input, and teacher recommendations, as well as information about the child’s physical condition, social or cultural background, and adaptive behavior.</p> <p>For students G & U there was insufficient evidence demonstrating compliance with this requirement.</p>
6.	Ed 1107.05(b)	<p>3 out of 13 IEP files demonstrated evidence of an evaluation report. The report shall include but not be limited to, the results of each evaluation procedure, test, record, or report; a written summary of the findings of the procedure, test, record, or report; and information regarding the parent’s rights of appeal in accordance with Ed 1123 and a description of the parent’s right to an independent evaluation in accordance with Ed 1107.03.</p> <p>For students B, F, G, I, K, R, S, U, X, & Z there was insufficient evidence demonstrating compliance with this requirement.</p>
<p>First Stage Corrective Action Regarding the Implementation of the Regulations: Provide training to appropriate staff to ensure that the composition of the IEP team to determine eligibility includes information regarding having qualified examiners for specific disabilities administering assessments and that the IEP team to determine eligibility draws upon information from a variety of sources, including aptitude and achievement tests, parent input and teacher recommendations, as well as information about the child’s physical condition, social or cultural background and adaptive behavior.</p> <p>Provide training to appropriate staff to ensure that an evaluation report shall include but not be limited to the results of each evaluation procedure, test, record, or report; a written summary of the findings of the procedure, test, record, or report; and information regarding the parent’s rights of appeal in accordance with Ed 1123 and a description of the parent’s right to an independent evaluation in accordance with Ed 1107.03.</p> <p>Provide the dates, names of attendees, and a description of the trainings, which defines the district’s procedure for complying with this specific rule, to the NHDOE within three months from the date of this report.</p>		
<p>Second Stage Corrective Action Regarding the Implementation of the Regulations: The NHDOE will review 2 new student files at Union Sanborn School for Self-Assessment Question 6; 3 new student files at Sanbornton Central School for Self-Assessment Questions 5 & 6; 2 new student files at Southwick School for Self-Assessment Question 6; 2 new student files at Winnisquam Regional Middle School for Self-Assessment Question 6; and 3 new student files at Winnisquam Regional High School for Self-Assessment Questions 4-6 for updated data demonstrating compliance with this requirement.</p>		

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.503 Ed 1120.03		D. Written Prior Notice (Determination of Eligibility)
Self-Assessment Question Number & Regulatory Component		Review Status
7.	34 CFR 300.503(b)(1) Ed 1120.03(b)	13 out of 13 IEP files demonstrated evidence that the written prior notice given to the parents of a child with a disability included a description of the action proposed or refused by the agency.
8.	34 CFR 300.503(b)(2) Ed 1120.03(b)	12 out of 13 IEP files demonstrated evidence that the written prior notice given to the parents of a child with a disability included an explanation of why the agency proposed or refused to take the action. For student X there was insufficient evidence demonstrating compliance with this requirement.
9.	34 CFR 300.503(b)(3) Ed 1120.03(b)	11 out of 13 IEP files demonstrated evidence that the written prior notice given to the parents of a child with a disability included a description of each evaluation procedure, assessment, record, or report the agency used as a basis for the proposed or refused action. For students F & U there was insufficient evidence demonstrating compliance with this requirement.
10.	34 CFR 300.503(b)(6) Ed 1120.03(b)	12 out of 13 IEP files demonstrated evidence that the written prior notice given to the parents of a child with a disability included a description of other options that the IEP team considered and the reasons why those options were rejected. For student I there was insufficient evidence demonstrating compliance with this requirement.
11.	34 CFR 300.503(b)(7) Ed 1120.03(b)	13 out of 13 IEP files demonstrated evidence that the written prior notice given to the parents of a child with a disability included a description of other factors that were relevant to the LEA's proposal or refusal.
12.	34 CFR 300.503(c)(1)(ii) Ed 1120.03(b)	13 out of 13 IEP files demonstrated evidence that the written prior notice given to the parents of a child with a disability was provided in the native language of the parent or other mode of communication used by the parent, unless it was clearly not feasible to do so.
<p>First Stage Corrective Action Regarding the Implementation of the Regulations: Provide training to staff on completing the written prior notice for determination of eligibility in order for staff to appropriately document each component of a written prior notice.</p> <p>Provide the dates, names of attendees, and a description of the trainings, which defines the district's procedure for complying with this specific rule, to the NHDOE within three months from the date of this report.</p> <p>Second Stage Corrective Action Regarding the Implementation of the Regulations: The NHDOE will review 2 new student files at Union Sanborn School for Self-Assessment Questions 7-12; 2 new student files at Sanbornton Central School for Self-Assessment Questions 7-12; and 2 new student files at Winnisquam Regional High School for Self-Assessment Questions 7-12 for updated data demonstrating compliance with this requirement.</p>		

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.323; 34 CFR 300.324 Ed 1109		E. Individualized Education Program
Self-Assessment Question Number & Regulatory Component		Review Status
13.	Ed 1109.01(a)(5)	12 out of 13 IEP files demonstrated evidence of the signature of the parent or, where appropriate, student, and a representative of the LEA stating approval of the provisions of the IEP. For student S there was insufficient evidence demonstrating compliance with this requirement.
14.	34 CFR 300.323(c)(1) Ed 1109.03(a)	For an initial IEP, 6 out of 6 IEP files demonstrated evidence that there was a meeting to develop an IEP for the student conducted within 30 days of a determination that the child needs special education and related services. <i>(Seven student files were not of students with initial IEPs.)</i>
15.	34 CFR 300.324(b)(1)(i) Ed 1109.03(d)	7 out of 7 IEP files demonstrated evidence that the IEP was reviewed at least annually . <i>(Six student files were of students with initial IEPs.)</i>
16.	34 CFR 300.323(a) Ed 1109.03(d)	13 out of 13 IEP files demonstrated evidence that an IEP was in place at the beginning of the school year .
<p>First Stage Corrective Action of Student Specific Instance(s) of Noncompliance: As soon as possible, but no later than 2 months from the date of this report, the district must show evidence of the signature of the parent or, where appropriate, student, and a representative of the LEA indicating approval of the provisions of the IEP.</p> <p>The NHDOE will verify this through a subsequent on-site review.</p> <p>First Stage Corrective Action Regarding the Implementation of the Regulations: Provide training to appropriate staff to ensure that the signature of the parent or, where appropriate, student, stating approval of the provisions of the IEP is obtained</p> <p>Provide the dates, names of attendees, and a description of the trainings, which defines the district's procedure for complying with this specific rule, to the NHDOE within three months from the date of this report.</p> <p>Second Stage Corrective Action Regarding the Implementation of the Regulations: The NHDOE will review 2 new student files at Winnisquam Regional Middle School for Self-Assessment Question 13 for updated data demonstrating compliance with this requirement.</p>		

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.321(a) Ed 1103.01		F. IEP Team; Participants in the Special Education Process
Self-Assessment Question Number & Regulatory Component		Review Status
17.	34 CFR 300.321(a)(1) Ed 1103.01(a)	13 out of 13 IEP files demonstrated evidence that one or both of the parents are present at the IEP team meeting or are afforded the opportunity to participate
18.	34 CFR 300.321(a)(2) Ed 1103.01(a)	12 out of 12 IEP files demonstrated evidence that the IEP Team included at least one regular education teacher of the child. <i>(One student file included evidence that the student is not and will not participate in the regular education environment.)</i>

19.	34 CFR 300.321(a)(3) Ed 1103.01(a)	13 out of 13 IEP files demonstrated evidence that the IEP Team included at least one special education teacher of the child, (or where appropriate), at least one special education provider of the child.
20.	34 CFR 300.321(a)(4) Ed 1103.01(a)	13 out of 13 IEP files demonstrated evidence that the IEP Team included an LEA representative .
21.	34 CFR 300.321(a)(5) Ed 1103.01(a)	13 out of 13 IEP files demonstrated evidence that an individual who can interpret the instructional implications of the evaluation results participated in the meeting.
22.	Ed 1103.01(d)	If vocational, career or technical education was being considered, 2 out of 2 IEP files demonstrated evidence that the IEP team membership included an individual knowledgeable about the vocational education programs and/or career technical education being considered. <i>(Eleven student files were of students for whom vocational education/CTE was not considered.)</i>
23.	Ed 1103.02(a), (c), (d)	8 out of 13 IEP files demonstrated evidence that the parent(s) received a written invitation no fewer than 10 days before an IEP meeting which included the purpose, time, location and identification of the participants or the parent agreed in writing that the LEA could satisfy this requirement via transmittal by electronic mail <u>or</u> demonstrated evidence of written consent of the parent(s) that the notice requirement were waived [Ed 1103.02(b)]. For students D, F, G, R, & X there was insufficient evidence demonstrating compliance with this requirement.
<p>First Stage Corrective Action Regarding the Implementation of the Regulations: Provide trainings to appropriate staff for ensuring that at least a 10 day notice is given to the parent before an IEP meeting, which includes the purpose, time, location and identification of the participants, or the parent agreed in writing that the LEA could satisfy this requirement via transmittal by electronic mail <u>or</u> demonstrated evidence of written consent of the parent(s) that the notice requirement were waived.</p> <p>Provide the dates, names of attendees, and a description of the trainings, which defines the district's procedure for complying with this specific rule, to the NHDOE within three months from the date of this report.</p>		
<p>Second Stage Corrective Action Regarding the Implementation of the Regulations: The NHDOE will review 3 new student files at Winnisquam Regional Middel School for Self-Assessment Question 23 for updated data demonstrating compliance with this requirement.</p>		

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.320(a); 34 CFR 300.324(a)(1)		G. Individualized Education Program (Present Levels of Academic Achievement and Functional Performance)
Self-Assessment Question Number & Regulatory Component		Review Status
24.	34 CFR 300.324(a)(1)(i)	12 out of 13 IEPs demonstrated evidence of a statement of the child's strengths . For student S there was insufficient evidence demonstrating compliance with this requirement.

25.	34 CFR 300.324(a)(1)(iv)	<p>12 out of 13 IEPs demonstrated evidence of a statement of the child's academic, developmental, and functional needs.</p> <p>For student S there was insufficient evidence demonstrating compliance with this requirement.</p>
26.	34 CFR 300.324(a)(1)(ii)	<p>12 out of 13 IEPs demonstrated evidence of a statement of the parent's concerns for enhancing the student's education in the IEP.</p> <p>For student S there was insufficient evidence demonstrating compliance with this requirement.</p>
27.	34 CFR 300.324(a)(1)(iii)	<p>12 out of 13 IEPs demonstrated evidence that the results of initial or most recent evaluations of the child were included in the IEP.</p> <p>For student S there was insufficient evidence demonstrating compliance with this requirement.</p>
28.	34 CFR 300.320(a)(1)(i)	<p>11 out of 12 IEPs demonstrated evidence of a statement in the IEP that describes how the child's disability affects the student's involvement and progress in the general education curriculum. <i>(One student file was a preschool age student.)</i></p> <p>For student S there was insufficient evidence demonstrating compliance with this requirement.</p>
29.	34 CFR 300.320(a)(4)(ii)	<p>12 out of 13 IEPs demonstrated evidence of a statement that describes how the child's disability affects participation in extracurricular and non-academic areas.</p> <p>For student S there was insufficient evidence demonstrating compliance with this requirement.</p>
30.	34 CFR 300.320(a)(1)(ii)	<p>For preschool children, as appropriate, 1 out of 1 IEPs demonstrated evidence of a statement in the IEP that describes how the disability affects the child's participation in appropriate activities. <i>(12 student files were not of preschool age students.)</i></p>

First Stage Corrective Action of Student Specific Instance(s) of Noncompliance: As soon as possible, but no later than 2 months from the date of this report, the district must convene the IEP teams to review the IEPs and provide evidence that the following is included in the IEP: a statement of the child's strengths; a statement of the child's academic, developmental, and functional needs; a statement of the parent's concern's for enhancing the student's education in the IEP; the results of initial or most recent evaluations of the child; a statement in the IEP that describes how the child's disability affects the student's involvement and progress in the general education curriculum; and a statement that describes how the child's disability affects participation in extracurricular and non-academic areas.

The NHDOE will verify this through a subsequent on-site review.

First Stage Corrective Action Regarding the Implementation of the Regulations: Provide training to appropriate staff to ensure that IEPs include the signature of the parent, or where appropriate, student, and a representative of the LEA indicating approval of the provisions of the IEP.

Provide training to appropriate staff to include in student's IEPs a statement of the child's strengths; of the child's academic, developmental, and functional needs; of the parent's concern's for improving the student's education in the IEP; of the results of initial or most recent evaluations of the child; of how the child's disability affects the student's involvement and progress in the general education curriculum; and that describes how the child's disability affects non-academic areas.

Provide the dates, names of attendees, and a description of the trainings, which defines the district's procedure for complying with this specific rule, to the NHDOE within three months from the date of this report.

Second Stage Corrective Action Regarding the Implementation of the Regulations: The NHDOE will review 3 new student files at Winnisquam Regional Middle School for Self-Assessment Questions 24-29 for updated data demonstrating compliance with this requirement.

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.324(a)(2) Ed 1109.03(h)		H. Consideration of Special Factors
Self-Assessment Question Number & Regulatory Component		Review Status
31.	34 CFR 300.324(a)(2)(i) Ed 1109.03(h)	When a child's behavior impedes the child's learning or that of others, 5 out of 5 IEPs demonstrated evidence that the IEP team considered the use of positive behavioral interventions and supports, and other strategies, to address that behavior. <i>(Eight student files were not of students whose behavior impedes learning.)</i>
32.	34 CFR 300.324(a)(2)(ii) Ed 1109.03(h)	When a child demonstrates limited English proficiency , 0 out of 0 IEPs demonstrated evidence that the IEP Team considered the language needs of the child as those needs relate to the child's IEP. <i>(No student files were of students who demonstrated limited English proficiency.)</i>
33.	34 CFR 300.324(a)(2)(iii) Ed 1109.03(h)	When a child is blind or visually impaired , 0 out of 0 IEPs demonstrated evidence that the IEP Team provided for instruction in Braille and the use of Braille unless the IEP Team determined, after an evaluation of the child's reading and writing skills, needs, and appropriate reading and writing media (including an evaluation of the child's future needs for instruction in Braille or the use of Braille), that instruction in Braille or the use of Braille was not appropriate for the child. <i>(No child was blind or visually impaired of the files reviewed.)</i>
34.	34 CFR 300.324(a)(2)(iv) Ed 1109.03(h)	13 out of 13 IEPs demonstrated evidence that the IEP Team considered the communication needs of the child, and in the case of a child who is deaf or hard of hearing, considered the child's language and communication mode, academic level, and full range of needs, including opportunities for direct instruction in the child's language and communication mode.
35.	34 CFR 300.324(a)(2)(v) Ed 1109.03(h)	13 out of 13 IEPs demonstrated evidence that the IEP Team considered whether the child needed assistive technology devices and services .

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
Ed 1109.01(a)(10)		I. Courses of Study
Self-Assessment Question Number & Regulatory Component		Review Status
36.	Ed 1109.01(a)(10)	For each student with a disability beginning at age 14 or younger, if determined appropriate by the IEP team, 3 out of 4 IEPs demonstrated evidence of a statement of the transition service needs of the student under the applicable components of the student's IEP that focuses on the student's courses of study such as participation in advanced-placement courses, vocational education, or career and technical education. <i>(Nine student files were students aged 13 or younger who will not be turning 14 during the IEP period.)</i> For student V there was insufficient evidence demonstrating compliance with this requirement.
<p>First Stage Corrective Action of Student Specific Instance(s) of Noncompliance: As soon as possible, but no later than 2 months from the date of this report, the district must convene the IEP teams to review the IEPs and provide evidence that for each student with a disability beginning at age 14 or younger, if determined appropriate by the IEP team, that the the IEP includes a statement of the transition service needs of the student under the applicable components of the student's IEP that focuses on the student's courses of study such as participation in advanced-placement courses, vocational education, or career and technical education.</p> <p>The NHDOE will verify this through a subsequent on-site review.</p>		
<p>First Stage Corrective Action Regarding the Implementation of the Regulations: Provide training to appropriate staff to ensure that for each student with a disability beginning at age 14 or younger, if determined appropriate by the IEP team, that the IEP includes a statement of the transition service needs of the student under the applicable components of the student's IEP that focuses on the student's courses of study such as participation in advanced-placement courses, vocational education, or career and technical education.</p> <p>Provide the dates, names of attendees, and a description of the trainings, which defines the district's procedure for complying with this specific rule, to the NHDOE within three months from the date of this report.</p>		
<p>Second Stage Corrective Action Regarding the Implementation of the Regulations: The NHDOE will review 3 new student files at Winnisquam Regional High School for Self-Assessment Question 36 for updated data demonstrating compliance with this requirement.</p>		

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.320(a)(2)(i) Ed 1109.01(a)		J. Measurable Annual Goals; Short-term Objectives or Benchmarks
Self-Assessment Question Number & Regulatory Component		Review Status
37.	34 CFR 300.320(a)(2)(i) Ed 1109.01(a)(1)	5 out of 13 IEPs demonstrated evidence of a statement of measurable annual goals , including academic and functional goals. For students A, E, L, N, O, Q, T, & V there was insufficient evidence demonstrating compliance with this requirement.
38.	34 CFR 300.320(a)(2)(i)(A), (B) Ed 1109.01(a)(1)	13 out of 13 IEPs demonstrated evidence that the measurable annual goals meet the child's needs that result from the child's disability to enable the child to be involved in and make progress in the general education curriculum as well as the child's other educational needs that results from the child's disability.

39.	Ed 1109.01(a)(6)	13 out of 13 IEPs demonstrated evidence of short-term objectives or benchmarks for all children unless the parent determines them unnecessary for all or some of the child’s annual goals.
First Stage Corrective Action of Student Specific Instance(s) of Noncompliance: As soon as possible, but no later than 2 months of the date of this report, the district must amend the IEPs to include measurable annual goals.		
The NHDOE will verify this through a subsequent on-site review.		
First Stage Corrective Action Regarding the Implementation of the Regulations: Provide training to appropriate staff to address writing measurable annual goals.		
Provide the dates, names of attendees, and a description of the trainings, which defines the district’s procedure for complying with this specific rule, to the NHDOE within three months from the date of this report.		
Second Stage Corrective Action Regarding the Implementation of the Regulations: The NHDOE will review review 2 new student files at Union Sanborn School for Self-Assessment Question 37; 3 new student files at Southwick School for Self-Assessment Question 37; 3 new student files at Winnisquam Regional Middle School for Self-Assessment Question 37; and 2 new student files at Winnisquam Regional High School for Self-Assessment Questions 37 for updated data demonstrating compliance with this requirement.		

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
Ed 1109.01(a)(8)		K. Review and Revision of IEPs (Measuring Progress)
Self-Assessment Question Number & Regulatory Component		Review Status
40.	Ed 1109.01(a)(8)	13 out of 13 IEPs demonstrated evidence that the IEP includes a statement of how the child’s progress toward meeting the annual goals shall be provided to the parents.

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.323(d)(2)(ii) Ed 1102; Ed 1109.03(a)		L. Accessibility of Child’s IEP to Teachers and Others (General Accommodations and General Modifications)
Self-Assessment Question Number & Regulatory Component		Review Status
41.	34 CFR 300.323(d)(2)(ii) Ed 1109.03(a) Ed 1109.04(a)	12 out of 13 IEPs demonstrated evidence that a copy of the IEP has been provided to each teacher and provider so that they are informed of their specific responsibilities for implementing the IEP and specific accommodations, modifications, and supports that must be provided for the child in accordance with the IEP. For student A there was insufficient evidence demonstrating compliance with this requirement.
42.	Ed 1102.01(b)	If accommodations are included, 13 out of 13 IEPs demonstrated evidence that the accommodations are changes in instruction or evaluation determined necessary by the IEP team that do not impact the rigor, validity or both of the subject matter being taught or assessed.

43.	Ed 1102.03(v)	<p>If modifications are included, 3 out of 4 IEPs demonstrated evidence that the modifications are changes in instruction or evaluation determined necessary by the IEP team that impacts the rigor, validity or both of the subject matter being taught or assessed. <i>(Nine student files were students with no modifications.)</i></p> <p>For student H there was insufficient evidence demonstrating compliance with this requirement.</p>
<p>First Stage Corrective Action of Student Specific Instance(s) of Noncompliance: As soon as possible, but no later than 2 months from the date of this report, the district must show evidence that a copy of the IEP has been provided to each teacher and service provider so that they are informed of their specific responsibilities for implementing the IEP and specific accommodations, modifications, and supports that must be provided for the child in accordance with the IEP, and if modifications are included in the IEP, they are changes in instruction or evaluation determined necessary by the IEP team that impacts the rigor, validity or both of the subject matter being taught or assessed.</p> <p>The NHDOE will verify this through a subsequent on-site review.</p>		
<p>First Stage Corrective Action Regarding the Implementation of the Regulations: Provide training to appropriate staff to ensure that a copy of the IEP has been provided to each teacher and service provider so that they are informed of their specific responsibilities for implementing the IEP and specific accommodations, modifications, and supports that must be provided for the child in accordance with the IEP, and to address that modifications are changes in instruction or evaluation determined necessary by the IEP team that impacts the rigor, validity or both of the subject matter being taught or assessed.</p> <p>Provide the dates, names of attendees, and a description of the trainings, which defines the district's procedure for complying with this specific rule, to the NHDOE within three months from the date of this report.</p>		
<p>Second Stage Corrective Action Regarding the Implementation of the Regulations: The NHDOE will review 2 new student files at Union Sanborn School for Self-Assessment Question 41,; and 2 new student files at Sanbornton Central School for Self-Assessment Question 43 for updated data demonstrating compliance with this requirement.</p>		

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.320(a) Ed 1109.01(a)(1); 1109.04(b)		M. Definition of Individualized Education Program (Special Education and Related Services, Supplementary Aids and Services, and Program Modifications or Supports for School Personnel)
Self-Assessment Question Number & Regulatory Component		Review Status
44.	34 CFR 300.320(a)(4) Ed 1109.01(a)(1)	13 out of 13 IEPs demonstrated evidence of a statement of special education .
45.	Ed 1109.04(b)(1)	<p>11 out of 13 IEP files demonstrated written evidence documenting implementation of the IEP with regards to special education services provided.</p> <p>For students Q & V there was insufficient evidence demonstrating compliance with this requirement.</p>
46.	34 CFR 300.320(a)(4) Ed 1109.01(a)(1)	11 out of 11 IEPs demonstrated evidence of a statement of related services . <i>(Two student files were students for whom there was no evidence that the IEP team determined this is necessary.)</i>

47.	Ed 1109.04(b)(1)	10 out of 11 IEP files demonstrated written evidence documenting implementation of the IEP with regards to related services provided. <i>(Two student files were students for whom there were no related services in the IEP.)</i> For student T there was insufficient evidence demonstrating compliance with this requirement.
48.	34 CFR 300.320(a)(4) Ed 1109.01(a)(1)	0 out of 0 IEPs demonstrated evidence of a statement of supplementary aids and services . <i>(13 student files were students for whom there was no evidence that the IEP team determined this was necessary.)</i>
49.	Ed 1109.04(b)(2)	0 out of 0 IEP files demonstrated written evidence documenting implementation of the IEP with regards to supplementary aids and services provided. <i>(13 student files were students for whom there were no supplementary aids and services in the IEP.)</i>
50.	34 CFR 300.320(a)(4) Ed 1109.01(a)(1)	4 out of 4 IEPs demonstrated evidence of a statement of the supports for school personnel . <i>(Nine student files were students for whom there was no evidence that the IEP team determined this was necessary.)</i>
51.	Ed 1109.04(b)(4)	3 out of 4 IEP files demonstrated written evidence documenting implementation of the IEP with regards to supports provided for school personnel . <i>(Nine student files were students for whom there were no supports for personnel in the IEP.)</i> For students A there was insufficient evidence demonstrating compliance with this requirement.
52.	34 CFR 300.320(a)(7) Ed 1109.01(a)(1)	13 out of 13 IEPs demonstrated evidence of a projected date for the beginning of the services and modifications described in the supports and services section of the IEP.
53.	34 CFR 300.320(a)(7) Ed 1109.01(a)(1)	13 out of 13 IEPs demonstrated evidence of the anticipated frequency, location, and duration of those services and modifications described in the supports and services section of the IEP.
<p>First Stage Corrective Action of Student Specific Instance(s) of Noncompliance: As soon as possible, but no later than 2 months from the date of this report, the district will provide documentation for evidence of the implementation of special education and related services provided, and supports provided for school personnel.</p> <p>The NHDOE will verify this through a subsequent on-site review.</p> <p>First Stage Corrective Action Regarding the Implementation of the Regulations: Provide training to appropriate staff for ensuring that there is written evidence documenting implementation of the IEP with regards to all special education and related services provided and supports provided for school personnel implementing the IEP.</p> <p>Provide the dates, names of attendees, and a description of the trainings, which defines the district's procedure for complying with this specific rule, to the NHDOE within three months from the date of this report.</p> <p>Second Stage Corrective Action Regarding the Implementation of the Regulations: The NHDOE will review 2 new student files at Union Sanborn School for Self-Assessment Questions 51; 2 new student files at Winnisquam Regional Middle School for Self-Assessment Questions 45 & 47; and 2 new student files at Winnisquam Regional High School for Self-Assessment Question 45 for updated data demonstrating compliance with this requirement.</p>		

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.320(a)(5) Ed 1109.01(a)(1)		N. Definition of Individualized Education Program (Justification for Non-Participation)
Self-Assessment Question Number & Regulatory Component		Review Status
54.	34 CFR 300.320(a)(5) Ed 1109.01(a)(1)	12 out of 13 IEP demonstrated evidence of an explanation of the extent, if any, to which the child will not participate with nondisabled children in the regular class and in the activities described in the supports and services section of the IEP. For student A , there was insufficient evidence demonstrating compliance with this requirement.
First Stage Corrective Action of Student Specific Instance(s) of Noncompliance: As soon as possible, but no later than 2 months of the date of this report, the district must amend the IEPs to include the explanation of the extent, if any, to which the child did not participate with nondisabled children in the regular class and in the activities described in the supports and services section of the IEP. The NHDOE will verify this through a subsequent on-site review.		
First Stage Corrective Action Regarding the Implementation of the Regulations: Provide training to appropriate staff to address the explanation of the extent, if any, to which the child will not participate with nondisabled children in the regular class and in the activities described in the supports and services section of the IEP. Provide the dates, names of attendees, and a description of the trainings, which defines the district's procedure for complying with this specific rule, to the NHDOE within 3 months from the date of this report.		
Second Stage Corrective Action Regarding the Implementation of the Regulations: The NHDOE will review 3 new student files at Union Sanborn School for Self-Assessment Question 54 for updated data demonstrating compliance with this requirement.		

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.320(a)(6) Ed 1109.01(a)(1)		O. Definition of Individualized Education Program (State and District Wide Assessments)
Self-Assessment Question Number & Regulatory Component		Review Status
55.	34 CFR 300.320(a)(6)(i) Ed 1109.01(a)(1)	11 out of 11 IEPs demonstrated evidence of a statement of any individual appropriate accommodations that are necessary to measure the academic achievement and functional performance of the child on State and district wide assessments. <i>(Two student files were of students for whom there were no state or district wide assessments for the student's age/grade level.)</i>
56.	34 CFR 300.320(a)(6)(ii)(A) Ed 1109.01(a)(1)	When the IEP Team determines that the child must take an alternate assessment instead of a particular regular State or district wide assessment of student achievement, 0 out of 0 IEPs demonstrated evidence of a statement of why the child cannot participate in the regular assessment. <i>(13 student files were of students not taking an alternate assessment.)</i>
57.	34 CFR 300.320(a)(6)(ii)(B) Ed 1109.01(a)(1)	When the child is taking an alternate assessment, 0 out of 0 IEPs demonstrated evidence describing why the particular alternate assessment selected is appropriate for the child. <i>(13 student files were of students not taking an alternate assessment.)</i>

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.503 Ed 1120.03		P. Procedural Safeguards (Written Prior Notice for IEP)
Self-Assessment Question Number & Regulatory Component		Review Status
58.	34 CFR 300.503(b)(1) Ed 1120.03(b)	<p>12 out of 13 IEP files demonstrated evidence that the notice given to the parents of a child with a disability included a description of the action proposed or refused by the agency.</p> <p>For student T there was insufficient evidence demonstrating compliance with this requirement.</p>
59.	34 CFR 300.503(b)(2) Ed 1120.03(b)	<p>10 out of 13 IEP files demonstrated evidence that the notice given to the parents of a child with a disability included an explanation of why the agency proposed or refused to take the action.</p> <p>For students A, Q, & T there was insufficient evidence demonstrating compliance with this requirement.</p>
60.	34 CFR 300.503(b)(3) Ed 1120.03(b)	<p>9 out of 13 IEP files demonstrated evidence that the notice given to the parents of a child with a disability included a description of each evaluation procedure, assessment, record, or report the agency used as a basis for the proposed or refused action.</p> <p>For students H, T, V, & W there was insufficient evidence demonstrating compliance with this requirement.</p>
61.	34 CFR 300.503(b)(6) Ed 1120.03(b)	<p>11 out of 13 IEP files demonstrated evidence that the notice given to the parents of a child with a disability included a description of other options that the IEP team considered and the reasons why those options were rejected.</p> <p>For students A & T there was insufficient evidence demonstrating compliance with this requirement.</p>
62.	34 CFR 300.503(b)(7) Ed 1120.03(b)	<p>12 out of 13 IEP files demonstrated evidence that the notice given to the parents of a child with a disability included a description of other factors that were relevant to the LEA's proposal or refusal.</p> <p>For student T there was insufficient evidence demonstrating compliance with this requirement.</p>
63.	34 CFR 300.503(c)(1)(ii) Ed 1120.03(b)	<p>12 out of 13 IEP files demonstrated evidence that the notice given to the parents of a child with a disability was provided in the native language of the parent or other mode of communication used by the parent, unless it was clearly not feasible to do so.</p> <p>For student T there was insufficient evidence demonstrating compliance with this requirement.</p>
<p>First Stage Corrective Action Regarding the Implementation of the Regulations: Provide training to staff on completing the written prior notice for IEP in order for staff to appropriately document each component of a written prior notice.</p> <p>Provide the dates, names of attendees, and a description of the trainings, which defines the district's procedure for complying with this specific rule, to the NHDOE within three months from the date of this report.</p>		

Second Stage Corrective Action Regarding the Implementation of the Regulations: The NHDOE will review 2 new student files at Union Sanborn School for Self-Assessment Questions 58-63; 2 new student files at Sanbornton Central School for Self-Assessment Questions 58-63; 3 new student files at Winnisquam Regional Middle School for Self-Assessment Questions 58-63; and 2 new student files at Winnisquam Regional High School for Self-Assessment Questions 58-63 for updated data demonstrating compliance with this requirement.

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.503 Ed 1120.03(b)		Q. Written Prior Notice (Placement)
Self-Assessment Question Number & Regulatory Component		Review Status
64.	34 CFR 300.503(b)(1) Ed 1120.03(b)	<p>8 out of 12 IEP files demonstrated evidence that the notice given to the parents of a child with a disability included a description of the action proposed or refused by the agency. <i>(One student file was of a student enrolled by their parent in a Charter School and receiving services in the regular education setting at the Charter School.)</i></p> <p>For students D, K, S, & U there was insufficient evidence demonstrating compliance with this requirement.</p>
65.	34 CFR 300.503(b)(2) Ed 1120.03(b)	<p>6 out of 12 IEP files demonstrated evidence that the notice given to the parents of a child with a disability included an explanation of why the agency proposed or refused to take the action. <i>(One student file was of a student enrolled by their parent in a Charter School and receiving services in the regular education setting at the Charter School.)</i></p> <p>For students D, K, R, S, U, & X there was insufficient evidence demonstrating compliance with this requirement.</p>
66.	34 CFR 300.503(b)(3) Ed 1120.03(b)	<p>6 out of 12 IEP files demonstrated evidence that the notice given to the parents of a child with a disability included a description of each evaluation procedure, assessment, record, or report the agency used as a basis for the proposed or refused action. <i>(One student file was of a student enrolled by their parent in a Charter School and receiving services in the regular education setting at the Charter School.)</i></p> <p>For students D, F, M, R, S, & U there was insufficient evidence demonstrating compliance with this requirement.</p>
67.	34 CFR 300.503(b)(6) Ed 1120.03(b)	<p>8 out of 12 IEP files demonstrated evidence that the notice given to the parents of a child with a disability included a description of other options that the IEP team considered and the reasons why those options were rejected. <i>(One student file was of a student enrolled by their parent in a Charter School and receiving services in the regular education setting at the Charter School.)</i></p> <p>For students D, I, S, & U there was insufficient evidence demonstrating compliance with this requirement.</p>

68.	34 CFR 300.503(b)(7) Ed 1120.03(b)	<p>9 out of 12 IEP files demonstrated evidence that the notice given to the parents of a child with a disability included a description of other factors that were relevant to the LEA’s proposal or refusal. <i>(One student file was of a student enrolled by their parent in a Charter School and receiving services in the regular education setting at the Charter School.)</i></p> <p>For students D, S, & U there was insufficient evidence demonstrating compliance with this requirement.</p>
69.	34 CFR 300.503(c)(1)(ii) Ed 1120.03(b)	<p>9 out of 12 IEP files demonstrated evidence that the notice given to the parents of a child with a disability was provided in the native language of the parent or other mode of communication used by the parent, unless it was clearly not feasible to do so. <i>(One student file was of a student enrolled by their parent in a Charter School and receiving services in the regular education setting at the Charter School.)</i></p> <p>For students D, S, & U there was insufficient evidence demonstrating compliance with this requirement.</p>
<p>First Stage Corrective Action Regarding the Implementation of the Regulations: Provide training to staff on completing the written prior notice for placement in order for staff to appropriately document each component of a written prior notice.</p> <p>Provide the dates, names of attendees, and a description of the trainings, which defines the district’s procedure for complying with this specific rule, to the NHDOE within three months from the date of this report.</p>		
<p>Second Stage Corrective Action Regarding the Implementation of the Regulations: The NHDOE will review review 3 new student files at Union Sanborn School for Self-Assessment Questions 64-69; 2 new student files at Sanbornton Central School for Self-Assessment Questions 64-69; 2 new student files at Southwick School for Self-Assessment Questions 64-69; 3 new student files at Winnisquam Regional Middle School for Self-Assessment Questions 64-69; and 3 new student files at Winnisquam Regional High School for Self-Assessment Questions 64-69 for updated data demonstrating compliance with this requirement.</p>		