**ANNUAL REQUEST FOR FEDERAL SPECIAL EDUCATION FUNDS FY’19**

**IDEA Part B SECTION 611 ASSURANCES**

**This signed and dated document assures that the LEA certifies that they meet each of the conditions in**

**34 CFR §300.201 through** §**300.213.**

**Superintendent’s Signature ­­­­­­­­­­­­­­­­­­­­­­­­­­­­­­­­­­­­­­­­\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

§**300.201 Consistency with State policies.**

The LEA, in providing for the education of children with disabilities within its jurisdiction, must have in effect policies, procedures, and programs that are consistent with the State policies and procedures established under Sec. Sec. 300.101 through 300.163, and Sec. Sec. 300.165 through 300.174.

(Authority: 20 U.S.C. 1413(a)(1) )

§**300.202 Use of amounts.**

[(a)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E202%2Ca%2C) General. Amounts provided to the LEA under Part B of the Act--

[(1)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E202%2Ca%2C1%2C) Must be expended in accordance with the applicable provisions of this part;

[(2)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E202%2Ca%2C2%2C) Must be used only to pay the excess costs of providing special education and related services to children with disabilities, consistent with paragraph (b) of this section; and

[(3)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E202%2Ca%2C3%2C) Must be used to supplement State, local, and other Federal funds and not to supplant those funds.

[(b)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E202%2Cb%2C) Excess cost requirement.

[(1)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E202%2Cb%2C1%2C) General.

[(i)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E202%2Cb%2C1%2Ci%2C) The excess cost requirement prevents an LEA from using funds provided under Part B of the Act to pay for all of the costs directly attributable to the education of a child with a disability, subject to paragraph (b)(1)(ii) of this section.

[(ii)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E202%2Cb%2C1%2Cii%2C) The excess cost requirement does not prevent an LEA from using Part B funds to pay for all of the costs directly attributable to the education of a child with a disability in any of the ages 3, 4, 5, 18, 19, 20, or 21, if no local or State funds are available for nondisabled children of these ages. However, the LEA must comply with the nonsupplanting and other requirements of this part in providing the education and services for these children.

[(2)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E202%2Cb%2C2%2C)

[(i)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E202%2Cb%2C2%2Ci%2C) An LEA meets the excess cost requirement if it has spent at least a minimum average amount for the education of its children with disabilities before funds under Part B of the Act are used.

[(ii)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E202%2Cb%2C2%2Cii%2C) The amount described in paragraph (b)(2)(i) of this section is determined in accordance with the definition of excess costs in Sec. 300.16. That amount may not include capital outlay or debt service.

[(3)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E202%2Cb%2C3%2C) If two or more LEAs jointly establish eligibility in accordance with Sec. 300.223, the minimum average amount is the average of the combined minimum average amounts determined in accordance with the definition of excess costs in Sec. 300.16 in those agencies for elementary or secondary school students, as the case may be.

(Authority: 20 U.S.C. 1413(a)(2)(A) )

§**300.203 Maintenance of effort.**

(a) *Eligibility standard.* (1) For purposes of establishing the LEA's eligibility for an award for a fiscal year, the SEA must determine that the LEA budgets, for the education of children with disabilities, at least the same amount, from at least one of the following sources, as the LEA spent for that purpose from the same source for the most recent fiscal year for which information is available:

(i) Local funds only;

(ii) The combination of State and local funds;

(iii) Local funds only on a per capita basis; or

(iv) The combination of State and local funds on a per capita basis.

(2) When determining the amount of funds that the LEA must budget to meet the requirement in paragraph (a)(1) of this section, the LEA may take into consideration, to the extent the information is available, the exceptions and adjustment provided in §§ 300.204 and 300.205 that the LEA:

(i) Took in the intervening year or years between the most recent fiscal year for which information is available and the fiscal year for which the LEA is budgeting; and

(ii) Reasonably expects to take in the fiscal year for which the LEA is budgeting.

(3) Expenditures made from funds provided by the Federal government for which the SEA is required to account to the Federal government or for which the LEA is required to account to theFederal government directly or through the SEA may not be considered in determining whether an LEA meets the standard in paragraph (a)(1) of this section.

(b) *Compliance standard.* (1) Except as provided in §§ 300.204 and 300.205, funds provided to an LEA under Part B of the Act must not be used to reduce the level of expenditures for the education of children with disabilities made by the LEA from local funds below the level of those expenditures for the preceding fiscal year.

(2) An LEA meets this standard if it does not reduce the level of expenditures for the education of children with disabilities made by the LEA from at least one of the following sources below the level of those expenditures from the same source for the preceding fiscal year, except as provided in §§ 300.204 and 300.205:

(i) Local funds only;

(ii) The combination of State and local funds;

(iii) Local funds only on a per capita basis; or

(iv) The combination of State and local funds on a per capita basis.

(3) Expenditures made from funds provided by the Federal government for which the SEA is required to account to the Federal government or for which the LEA is required to account to the Federal government directly or through the SEA may not be considered in determining whether an LEA meets the standard in paragraphs (b)(1) and (2) of this section.

(c) *Subsequent years.* (1) If, in the fiscal year beginning on July 1, 2013 or July 1, 2014, an LEA fails to meet the requirements of § 300.203 in effect at that time, the level of expenditures required of the LEA for the fiscal year subsequent to the year of the failure is the amount that would have been required in the absence of that failure, not the LEA's reduced level of expenditures.

(2) If, in any fiscal year beginning on or after July 1, 2015, an LEA fails to meet the requirement of paragraph (b)(2)(i) or (iii) of this section and the LEA is relying on local funds only, or local funds only on a per capita basis, to meet the requirements of paragraph (a) or (b) of this section, the level of expenditures required of the LEA for the fiscal year subsequent to the year of the failure is the amount that would have been required under paragraph (b)(2)(i) or (iii) in the absence of that failure, not the LEA's reduced level of expenditures.

(3) If, in any fiscal year beginning on or after July 1, 2015, an LEA fails to meet the requirement of paragraph (b)(2)(ii) or (iv) of this section and the LEA is relying on the combination of State and local funds, or the combination of State and local funds on a per capita basis, to meet the requirements of paragraph (a) or (b) of this section, the level of expenditures required of the LEA for the fiscal year subsequent to the year of the failure is the amount that would have been required under paragraph (b)(2)(ii) or (iv) in the absence of that failure, not the LEA's reduced level of expenditures.Show citation box

(d) *Consequence of failure to maintain effort.* If an LEA fails to maintain its level of expenditures for the education of children with disabilities in accordance with paragraph (b) of this section, the SEA is liable in a recovery action under section 452 of the General Education Provisions Act ([20 U.S.C. 1234](http://api.fdsys.gov/link?collection=uscode&title=20&year=mostrecent&section=1234&type=usc&link-type=html)a) to return to the Department, using non-Federal funds, an amount equal to the amount by which the LEA failed to maintain its level of expenditures in accordance with paragraph (b) of this section in that fiscal year, or the amount of the LEA's Part B subgrant in that fiscal year, whichever is lower. (Approved by the Office of Management and Budget under control number 1820-0600)

(Authority: [20 U.S.C. 1413](http://api.fdsys.gov/link?collection=uscode&title=20&year=mostrecent&section=1413&type=usc&link-type=html)(a)(2)(A), [Pub. L. 113-76](http://api.fdsys.gov/link?collection=plaw&congress=113&lawtype=public&lawnum=76&link-type=html), 128 Stat. 5, 394 (2014), [Pub. L. 113-235](http://api.fdsys.gov/link?collection=plaw&congress=113&lawtype=public&lawnum=235&link-type=html), 128 Stat. 2130, 2499 (2014))

§ **300.204 Exception to maintenance of effort.**

Notwithstanding the restriction in Sec. 300.203(a), an LEA may reduce the level of expenditures by the LEA under Part B of the Act below the level of those expenditures for the preceding fiscal year if the reduction is attributable to any of the following:

[(a)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E204%2Ca%2C) The voluntary departure, by retirement or otherwise, or departure for just cause, of special education or related services personnel.

[(b)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E204%2Cb%2C) A decrease in the enrollment of children with disabilities.

[(c)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E204%2Cc%2C) The termination of the obligation of the agency, consistent with this part, to provide a program of special education to a particular child with a disability that is an exceptionally costly program, as determined by the SEA, because the child--

[(1)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E204%2Cc%2C1%2C) Has left the jurisdiction of the agency;

[(2)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E204%2Cc%2C2%2C) Has reached the age at which the obligation of the agency to provide FAPE to the child has terminated; or

[(3)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E204%2Cc%2C3%2C) No longer needs the program of special education.

[(d)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E204%2Cd%2C) The termination of costly expenditures for long-term purchases, such as the acquisition of equipment or the construction of school facilities.

[(e)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E204%2Ce%2C) The assumption of cost by the high cost fund operated by the SEA under Sec. 300.704(c).

(Authority: 20 U.S.C. 1413(a)(2)(B) )

§**300.205 Adjustment to local fiscal efforts in certain fiscal years.**

[(a)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E205%2Ca%2C) Amounts in excess. Notwithstanding Sec. 300.202(a)(2) and (b) and Sec. 300.203(a), and except as provided in paragraph (d) of this section and Sec. 300.230(e)(2), for any fiscal year for which the allocation received by an LEA under Sec. 300.705 exceeds the amount the LEA received for the previous fiscal year, the LEA may reduce the level of expenditures otherwise required by Sec. 300.203(a) by not more than 50 percent of the amount of that excess.

[(b)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E205%2Cb%2C) Use of amounts to carry out activities under ESEA as amended by ESSA. If an LEA exercises the authority under paragraph (a) of this section, the LEA must use an amount of local funds equal to the reduction in expenditures under paragraph (a) of this section to carry out activities that could be supported with funds under the ESEA as amended by ESSA regardless of whether the LEA is using funds under the ESEA as amended by ESSA for those activities.

[(c)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E205%2Cc%2C) State prohibition. Notwithstanding paragraph (a) of this section, if an SEA determines that an LEA is unable to establish and maintain programs of FAPE that meet the requirements of section 613(a) of the Act and this part or the SEA has taken action against the LEA under section 616 of the Act and subpart F of these regulations, the SEA must prohibit the LEA from reducing the level of expenditures under paragraph (a) of this section for that fiscal year.

[(d)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E205%2Cd%2C) Special rule. The amount of funds expended by an LEA for early intervening services under Sec. 300.226 shall count toward the maximum amount of expenditures that the LEA may reduce under paragraph (a) of this section.

(Authority: 20 U.S.C. 1413(a)(2)(C) )

§**300.206 School wide programs under title I of the ESEA as amended by ESSA.**

[(a)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E206%2Ca%2C) General. Notwithstanding the provisions of Sec. Sec. 300.202 and 300.203 or any other provision of Part B of the Act, an LEA may use funds received under Part B of the Act for any fiscal year to carry out a schoolwide program under section 1114 of the ESEA as amended by ESSA, except that the amount used in any schoolwide program may not exceed--

[(1)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E206%2Ca%2C1%2C)

[(i)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E206%2Ca%2C1%2Ci%2C) The amount received by the LEA under Part B of the Act for that fiscal year; divided by

[(ii)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E206%2Ca%2C1%2Cii%2C) The number of children with disabilities in the jurisdiction of the LEA; and multiplied by

[(2)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E206%2Ca%2C2%2C) The number of children with disabilities participating in the schoolwide program.

[(b)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E206%2Cb%2C) Funding conditions. The funds described in paragraph (a) of this section are subject to the following conditions:

[(1)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E206%2Cb%2C1%2C) The funds must be considered as Federal Part B funds for purposes of the calculations required by Sec. 300.202(a)(2) and (a)(3).

[(2)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E206%2Cb%2C2%2C) The funds may be used without regard to the requirements of Sec. 300.202(a)(1).

[(c)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E206%2Cc%2C) Meeting other Part B requirements. Except as provided in paragraph (b) of this section, all other requirements of Part B of the Act must be met by an LEA using Part B funds in accordance with paragraph (a) of this section, including ensuring that children with disabilities in schoolwide program schools--

[(1)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E206%2Cc%2C1%2C) Receive services in accordance with a properly developed IEP; and

[(2)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E206%2Cc%2C2%2C) Are afforded all of the rights and services guaranteed to children with disabilities under the Act.

(Authority: 20 U.S.C. 1413(a)(2)(D) )

§**300.207 Personnel development.**

The LEA must ensure that all personnel necessary to carry out Part B of the Act are appropriately and adequately prepared, subject to the requirements of Sec. 300.156 (related to personnel qualifications) and section 2122 of the ESEA as amended by ESSA.

(Authority: 20 U.S.C. 1413(a)(3) )

§**300.208 Permissive use of funds.**

[(a)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E208%2Ca%2C) Uses. Notwithstanding Sec. Sec. 300.202, 300.203(a), and 300.162(b), funds provided to an LEA under Part B of the Act may be used for the following activities:

[(1)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E208%2Ca%2C1%2C) Services and aids that also benefit nondisabled children. For the costs of special education and related services, and supplementary aids and services, provided in a regular class or other education-related setting to a child with a disability in accordance with the IEP of the child, even if one or more nondisabled children benefit from these services.

[(2)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E208%2Ca%2C2%2C) Early intervening services. To develop and implement coordinated, early intervening educational services in accordance with Sec. 300.226.

[(3)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E208%2Ca%2C3%2C) High cost special education and related services. To establish and implement cost or risk sharing funds, consortia, or cooperatives for the LEA itself, or for LEAs working in a consortium of which the LEA is a part, to pay for high cost special education and related services.

[(b)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E208%2Cb%2C) Administrative case management. An LEA may use funds received under Part B of the Act to purchase appropriate technology for recordkeeping, data collection, and related case management activities of teachers and related services personnel providing services described in the IEP of children with disabilities, that is needed for the implementation of those case management activities.

(Authority: 20 U.S.C. 1413(a)(4) )

§**300.209 Treatment of charter schools and their students.**

[(a)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E209%2Ca%2C) Rights of children with disabilities. Children with disabilities who attend public charter schools and their parents retain all rights under this part.

[(b)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E209%2Cb%2C) Charter schools that are public schools of the LEA.

[(1)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E209%2Cb%2C1%2C) In carrying out Part B of the Act and these regulations with respect to charter schools that are public schools of the LEA, the LEA must--

[(i)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E209%2Cb%2C1%2Ci%2C) Serve children with disabilities attending those charter schools in the same manner as the LEA serves children with disabilities in its other schools, including providing supplementary and related services on site at the charter school to the same extent to which the LEA has a policy or practice of providing such services on the site to its other public schools; and

[(ii)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E209%2Cb%2C1%2Cii%2C) Provide funds under Part B of the Act to those charter schools--

[(A)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E209%2Cb%2C1%2Cii%2CA%2C) On the same basis as the LEA provides funds to the LEA's other public schools, including proportional distribution based on relative enrollment of children with disabilities; and

[(B)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E209%2Cb%2C1%2Cii%2CB%2C) At the same time as the LEA distributes other Federal funds to the LEA's other public schools, consistent with the State's charter school law.

[(2)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E209%2Cb%2C2%2C) If the public charter school is a school of an LEA that receives funding under Sec. 300.705 and includes other public schools--

[(i)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E209%2Cb%2C2%2Ci%2C) The LEA is responsible for ensuring that the requirements of this part are met, unless State law assigns that responsibility to some other entity; and

[(ii)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E209%2Cb%2C2%2Cii%2C) The LEA must meet the requirements of paragraph (b)(1) of this section.

§**300.210 Purchase of instructional materials.**

[(a)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E210%2Ca%2C) General. Not later than December 3, 2006, an LEA that chooses to coordinate with the National Instructional Materials Access Center (NIMAC), when purchasing print instructional materials, must acquire those instructional materials in the same manner, and subject to the same conditions as an SEA under Sec. 300.172.

[(b)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E210%2Cb%2C) Rights of LEA.

[(1)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E210%2Cb%2C1%2C) Nothing in this section shall be construed to require an LEA to coordinate with the NIMAC.

[(2)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E210%2Cb%2C2%2C) If an LEA chooses not to coordinate with the NIMAC, the LEA must provide an assurance to the SEA that the LEA will provide instructional materials to blind persons or other persons with print disabilities in a timely manner.

[(3)](http://idea.ed.gov/explore/view/p/%2Croot%2Cregs%2C300%2CC%2C300%252E210%2Cb%2C3%2C) Nothing in this section relieves an LEA of its responsibility to ensure that children with disabilities who need instructional materials in accessible formats but are not included under the definition of blind or other persons with print disabilities in Sec. 300.172(e)(1)(i) or who need materials that cannot be produced from NIMAS files, receive those instructional materials in a timely manner.

(Authority: 20 U.S.C. 1413(a)(6) )

§**300.211 Information for SEA.**

The LEA must provide the SEA with information necessary to enable the SEA to carry out its duties under Part B of the Act, including, with respect to Sec. Sec. 300.157 and 300.160, information relating to the performance of children with disabilities participating in programs carried out under Part B of the Act.

(Authority: 20 U.S.C. 1413(a)(7) )

§**300.212 Public information.**

The LEA must make available to parents of children with disabilities and to the general public all documents relating to the eligibility of the agency under Part B of the Act.

(Authority: 20 U.S.C. 1413(a)(8) )

§**300.213 Records regarding migratory children with disabilities.**

The LEA must cooperate in the Secretary's efforts under section 1308 of the ESEA as amended by ESSA to ensure the linkage of records pertaining to migratory children with disabilities for the purpose of electronically exchanging, among the States, health and educational information regarding those children.

(Authority: 20 U.S.C. 1413(a)(9) )