

**New Hampshire
Department of Education
Bureau of Student Support
Monitoring Review for Approval of
Private Provider Special Education
Programs**

**Spaulding Youth Center
Summary Report
2019 – 2020**

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Overview of the Spaulding Youth Center Programs

Spaulding Youth Center is located in Northfield, New Hampshire on nearly 500 acres atop a scenic hill surrounded by gorgeous 360-degree views of quintessential New England mountain ranges. They are a child-placing and child-care agency licensed through the New Hampshire Department of Health and Human Services Division of Children, Youth and Families (DCYF) and Child Care Licensing Unit (CCLU), and approved by the New Hampshire Department of Education (DOE).

The special education programs approved by the New Hampshire Department of Education include Neurobehavioral and Autistic Intensive Day program, Bridge Program – Day, and Emotionally Disturbed Day program. All programs are approved to provide year round schooling/services for males and females and can provide residential care.

The Neurobehavioral and Autistic Intensive Program as well as the Bridge Program offer a high school diploma, have the capacity to serve 39 and 55 students respectively, and range between grades K-12. The primary education disability for these programs are Autism, Emotional Disturbance, Intellectual Disability, Other Health Impairment, Speech or Language Impairment, Traumatic Brain Injury, Developmental Delay, Specific Learning Disability, and Multiple Disabilities.

The Emotionally Disturbed Day Program serves grades ranging between K-8 and has the capacity to service 8 students. The primary education disability for this program includes Emotional Disturbance, Other Health Impairment, Speech or Language Impairment, or Specific Learning Disability.

The leadership team at Spaulding Youth Center consists of a President and CEO, Executive Management Team, and a volunteer Board of Directors. The leadership team and volunteer board share a commitment to ensure that Spaulding Youth Center fulfills its mission to help children and youth with neurological, emotional, behavioral, learning or developmental challenges, and those who have experienced significant trauma, abuse or neglect, learn the academic and life skills needed to be successful in their homes, schools and communities.

The mission for Spaulding Youth Center is, “Supporting exceptional children and families toward a successful future.” Spaulding Youth Center delivers individually tailored services to children and families with a variety of need and strives to provide meaningful experiences to the individuals served with the goal of increasing skills for independence and active learning across the lifespan. Spaulding Youth Center partners with outside members of each child’s support team to ensure their progress is lasting. The Spaulding Youth Center community actively balances a focus on each child’s right to a childhood, while working to ensure that children and families have the skills to enjoy a bright future.

Spaulding Youth Center offers access to NHDOE-approved K-12 general education curriculum. Certified teachers and professionals provide instruction with modification and accommodation as outlined by each student’s individual education plans (IEPs). To support students’ IEPs, Spaulding Youth Center offers on-site related services, such as Occupational Therapy, Physical Therapy, and Speech and Language Therapy.

Spaulding Youth Center utilizes evidenced-based approaches and offers comprehensive educational programming to improve student performance in academic, behavioral, adaptive, social and vocational skills. They believe all students belong in their home community and strive to prepare students for return to a less restrictive environment.

In addition to core academic subjects, Spaulding Youth Center also offers Positive Behavioral Interventions and Supports (PBIS), Integrated Arts Program, Physical Education, including adaptive physical education in consultation with our physical therapist, Library and Computer Skills classes, Experiential Challenges Outdoors (EChO) Education program, Comprehensive Social Skills instruction addressing students' unique social needs, and Career exploration, education, and training.

The Clinical Services team at Spaulding Youth Center has expertise addressing a variety of childhood disorders, school problems, and family challenges. They work with children who have neurodevelopmental disorders, educational difficulties, and emotional challenges. Their philosophy revolves around the beliefs that children want to do their best, have the capacity to heal from traumatizing and disrupting experiences, that significant change can be achieved when all components of the environment work together (e.g., school, home, community) to create consistency, and that children benefit most from highly-skilled treatment rooted in evidence-based practice.

Noteworthy Practices and Areas in Need of Refinement

Noteworthy Practices

During the monitoring visit, it had been revealed that Spaulding Youth Center includes several practices in their teaching, lessons, and expectations which are noteworthy. Such practices include:

- A strong, valuable collaboration among staff. Classroom teachers work in collaboration with speech therapists, occupational therapists, physical therapists, BCBA clinicians, behaviorists, social workers, and psychologists, to create an environment where individual students can be most successful through recognizing and building upon strengths and all areas of weaknesses for a well-rounded education.
- Implementation of the Choose Love program, which is an evidence-based social and emotional classroom program teaching children how to choose love in any circumstance. The program focuses on the four character values of courage, gratitude, forgiveness and compassion in action. These character values cultivate optimism, resilience, and personal responsibility.
- A progressive effort to build relaxation and de-escalation stations throughout the center that minimizes the need for more intensive behavioral interventions.

- Integrating technology in the classroom and throughout the curriculum.
- Including field trips throughout the state as part of educational experiences.
- Parents and guardians are intimately involved in planning their children's educational, social, and developmental goals.
- Working in conjunction with the School Districts to keep students connected to their communities.
- Maintaining the goal for each student to return to their home district and measuring success by movement to a more least restrictive environment.
- A separate gymnasium fostering all abilities and includes unified sports as part of the programming.
- For students of transition age, IEP's included well written transition plans. Transition plans included activities that involved others outside of the school setting.

Overview of the Monitoring Review for Approval of Special Education Programs Process

The Monitoring Review for Approval of Private Provider Special Education Programs process ensures that students with educational disabilities have access to; can participate in; and can demonstrate progress within the general education curriculum, thereby improving student learning. The primary focus of the monitoring review is to improve educational results and functional outcomes for all children with disabilities.

Monitoring is done on a cyclical basis. During the year prior to monitoring, the New Hampshire Department of Education (NHDOE), Bureau of Student Support (Bureau) offers training to each private provider who is involved in the monitoring process. Training encompasses writing Measurable Annual Goals, Written Prior Notice, Self-Assessment, and a topic selected by the private provider based on current need. During this time, the private provider will be given the option to include a director from outside of their Local Education Agency (LEA) area to participate in the on-site file review, as well as at least one special education administrator from another private school who has been trained in the process by the Bureau. At the beginning of the school year in which the private provider is being monitored, the private provider will send the Bureau their completed application for renewal of Bureau special education approval/nonpublic school approval in addition to the program's policy and procedure manual and any special education forms that are used by the private program. Following a review of these documents, the monitoring team will

conduct an on-site review in which student files are examined for evidence of implementation of the policies and procedures through the special education process. The Bureau will also conduct a follow-up review to verify the implementation of corrective actions as defined in the summary report.

The New Hampshire Department of Education, Bureau of Student Support review members for Spaulding Youth Center's on-site monitoring review included Rebecca Fredette, Heidi Clyborne, Joanne DeBello, and Shelly Fagen, Director of Special Education from The Birchtree Center.

Procedures and Effective Implementation

Each private provider must have special education procedures, and effective implementation of practices that are aligned and support the implementation of IDEA and the *New Hampshire Standards for the Education of Children with Disabilities*.

The monitoring team reviewed the following special education procedures for compliance with State and Federal regulations regarding administration, confidentiality of information, program requirements, responsibilities of private providers of special education implementation of IEPs, behavioral interventions, RSA 126-U Limiting the use of child restraint practices in schools and treatment centers, qualifications and requirements for instructional, administrative and support personnel, change in placement or termination of enrollment, physical facilities, health and medical care, photography and audio-visual recording, and emergency planning and preparedness.

Based on the review of Spaulding Youth Center's special education procedures the monitoring team determined there was **1 finding of noncompliance**.

COMPLIANCE CITATIONS	AREA OF COMPLIANCE
1. Ed1114.04(e)	Administration
<p>Finding of Noncompliance: In reviewing Spaulding Youth Center's special education procedures the monitoring team was not able to find evidence that the statement of purpose is made available to the public on request.</p>	
<p>Corrective Action regarding the Implementation of the Regulations: Spaulding Youth Center must revise its procedure manual to include a statement in their description of their philosophy, purposes, and program orientation that such statement is made available to the public on request.</p> <p>Provide the revised procedures and a description of the method used to inform staff of the revision to the NHDOE as soon as possible but no later than 6 months from the date of this report.</p>	

Private Provider Curriculum and Effective Implementation

As part of the review, the monitoring team looked for evidence that Spaulding Youth Center is providing students with access to the general curriculum. The monitoring team reviewed the grades K – 12 curriculum provided by Spaulding Youth Center for compliance with learning areas in Arts Education, English/Language Arts, Health Education, Physical Education, Family & Consumer Science, Information & Communications Technologies, Mathematics, Science, Social Studies, and Technology Education, pursuant to Ed 306.261(b)(1) and (2) & Ed 306.27(c).

Based on the review of Spaulding Youth Center's curriculum, the monitoring team determined that there were **29 findings of noncompliance in 9 areas**.

COMPLIANCE CITATIONS	AREA OF COMPLIANCE
1. Ed 306.31(a)	Art
<p>Finding of Noncompliance: In reviewing Spaulding Youth Center's Art curriculum there was missing evidence in competencies submitted for the following standards:</p> <ul style="list-style-type: none"> • <i>the use of technology as ways to create, perform, or respond in various arts disciplines; (1)(f)</i> • <i>career opportunities in the arts or with the impact of the arts on everyday life; (1)(g)</i> • <i>opportunities related to art available beyond the regular classroom (2)(g)</i> <p>Resulting in 3 finding of noncompliance.</p>	
<p>Corrective Action regarding the Implementation of the Regulations: Spaulding Youth Center must revise its Art curriculum to include the standards listed above.</p> <p>Provide the updated Art curriculum, which includes the standards listed above as well as a description of the method used to inform staff of the revision to the NHDOE as soon as possible, but no later than 6 months from the date of this report.</p>	

COMPLIANCE CITATIONS	AREA OF COMPLIANCE
2. Ed 306.40(b)	Health
<p>Finding of Noncompliance: In reviewing Spaulding Youth Center's Health curriculum there was missing evidence in competencies submitted for the following standards:</p> <ul style="list-style-type: none"> • <i>important and enduring ideas, issues, and concepts related to achieving good health; (1)(b)</i> • <i>alcohol and other drug use prevention; (2)(a)</i> • <i>injury prevention; (2)(b)</i> • <i>family life and comprehensive sexuality education, including instruction relative to abstinence and sexually transmitted infections; (2)(e)</i> • <i>tobacco use prevention; (2)(f)</i> • <i>mental health; (2)(g)</i> • <i>personal and consumer health; (2)(h)</i> • <i>community and environmental health (2)(i)</i> <p>Resulting in 8 findings of noncompliance.</p>	
<p>Corrective Action regarding the Implementation of the Regulations: Spaulding Youth Center must revise its Health curriculum to include the standards listed above.</p>	

Provide the updated Health curriculum, which includes the standards listed above as well as a description of the method used to inform staff of the revision to the NHDOE as soon as possible, but no later than 6 months from the date of this report.

COMPLIANCE CITATIONS	AREA OF COMPLIANCE
3. Ed 306.41(b)	Physical Education
<p>Finding of Noncompliance: In reviewing Spaulding Youth Center’s Physical Education curriculum there was missing evidence in competencies submitted for the following standards:</p> <ul style="list-style-type: none"> • <i>enhancing the physical, mental, social, and emotional development through motor skills; (2)(a)</i> • <i>fitness education and assessment; (2)(b)</i> • <i>opportunities to gain a multicultural perspective in physical education; (2)(d)</i> • <i>the utilization of technology in attaining instruction, curricular, and assessment goals (2)(f)</i> <p>Resulting in 4 findings of noncompliance.</p>	
<p>Corrective Action regarding the Implementation of the Regulations: Spaulding Youth Center must revise its Physical Education curriculum to include the standards listed above.</p> <p>Provide the updated Physical Education curriculum, which includes the standards listed above as well as a description of the method used to inform staff of the revision to the NHDOE as soon as possible, but no later than 6 months from the date of this report.</p>	

COMPLIANCE CITATIONS	AREA OF COMPLIANCE
4. Ed 306.42(a)	Information and Communication Technologies
<p>Finding of Noncompliance: In reviewing Spaulding Youth Center’s Information and Communications Technologies curriculum there was missing evidence in competencies submitted for the following standards:</p> <ul style="list-style-type: none"> • <i>technical proficiency in networks; (4)(c)</i> • <i>creating digital portfolios (5)</i> <p>Resulting in 2 findings of noncompliance.</p>	
<p>Corrective Action regarding the Implementation of the Regulations: Spaulding Youth Center must revise its Information and Communication Technologies curriculum to include the standards listed above.</p> <p>Provide the updated Information and Communication Technologies curriculum, which includes the standards listed above as well as a description of the method used to inform staff of the revision to the NHDOE as soon as possible, but no later than 6 months from the date of this report.</p>	

COMPLIANCE CITATIONS	AREA OF COMPLIANCE
5. Ed 306.45(a)(3)	Elementary School Science
<p>Finding of Noncompliance: In reviewing Spaulding Youth Center’s Elementary School Science curriculum there was missing evidence in competencies submitted for the following standards:</p> <ul style="list-style-type: none"> • <i>activities that allow each student to explore, collect, handle, sort, and classify natural objects; (a)</i> • <i>modeling and communicating safety and health related issues relating to exploration, activities, and inquiry associated with materials, tools, and procedures (f)</i> <p>Resulting in 2 findings of noncompliance.</p>	
<p>Corrective Action regarding the Implementation of the Regulations: Spaulding Youth Center must revise its Elementary School Science curriculum to include the standards listed above.</p> <p>Provide the updated Elementary School Science curriculum, which includes the standards listed above as well as a description of the method used to inform staff of the revision to the NHDOE as soon as possible, but no later than 6 months from the date of this report.</p>	

COMPLIANCE CITATIONS	AREA OF COMPLIANCE
6.Ed 306.46(a)	Elementary School Social Studies
<p>Finding of Noncompliance: In reviewing Spaulding Youth Center’s Elementary School Social Studies curriculum there was missing evidence in competencies submitted for the following standards:</p> <ul style="list-style-type: none"> • <i>instruction in the United States constitution and both New Hampshire history and constitution (3)</i> <p>Resulting in 1 finding of noncompliance.</p>	
<p>Corrective Action regarding the Implementation of the Regulations: Spaulding Youth Center must revise its Elementary School Social Studies curriculum to include the standards listed above.</p> <p>Provide the updated Elementary School Social Studies curriculum, which includes the standards listed above as well as a description of the method used to inform staff of the revision to the NHDOE as soon as possible, but no later than 6 months from the date of this report.</p>	

COMPLIANCE CITATIONS	AREA OF COMPLIANCE
7. Ed 306.43(b)	Middle School Math
<p>Finding of Noncompliance: In reviewing Spaulding Youth Center’s Middle School Math curriculum there was missing evidence in competencies submitted for the following standards:</p> <ul style="list-style-type: none"> • <i>solving problems across other academic disciplines; (1)(d)</i> • <i>opportunities to explore mathematically-related careers, mathematics involved in various careers, and mathematical requirements of various college majors (4),(5)</i> <p>Resulting in 2 findings of noncompliance.</p>	
<p>Corrective Action regarding the Implementation of the Regulations: Spaulding Youth Center must revise its Middle School Math curriculum to include the standards listed above.</p> <p>Provide the updated Middle School Math curriculum, which includes the standards listed above as well as a description of the method used to inform staff of the revision to the NHDOE as soon as possible, but no later than 6 months from the date of this report.</p>	

COMPLIANCE CITATIONS	AREA OF COMPLIANCE
8. Ed 306.45(c)(4)	Middle School Science
<p>Finding of Noncompliance: In reviewing Spaulding Youth Center’s Middle School Science curriculum there was missing evidence in competencies submitted for the following standards:</p> <ul style="list-style-type: none"> • <i>employing safe practices and techniques in the laboratory and on field trips; (b)</i> • <i>an awareness of science-related societal issues; (e)</i> • <i>understanding the history of science and its impact on society and the realization that science is a human endeavor; (g)</i> • <i>becoming familiar with science and technology related careers (h)</i> <p>Resulting in 4 findings of noncompliance.</p>	
<p>Corrective Action regarding the Implementation of the Regulations: Spaulding Youth Center must revise its Middle School Science curriculum to include the standards listed above.</p> <p>Provide the updated Middle School Science curriculum, which includes the standards listed above as well as a description of the method used to inform staff of the revision to the NHDOE as soon as possible, but no later than 6 months from the date of this report.</p>	

COMPLIANCE CITATIONS	AREA OF COMPLIANCE
9. Ed 306.46(b)	Middle School Social Studies
<p>Finding of Noncompliance: Spaulding Youth Center must revise its Middle School Social Studies curriculum to include the standards listed above.</p> <ul style="list-style-type: none"> • <i>instruction in the United States constitution, as well as New Hampshire government, history, and constitution; (3)</i> • <i>contributions to the well-being of the state (New Hampshire); (4)(c)</i> • <i>becoming familiar with careers in history, the humanities, and the social sciences (4)(d)</i> <p>Resulting in 3 findings of noncompliance.</p>	
<p>Corrective Action regarding the Implementation of the Regulations: Spaulding Youth Center must revise its Middle School Social Studies curriculum to include the standards listed above.</p> <p>Provide the updated Middle School Social Studies curriculum, which includes the standards listed above as well as a description of the method used to inform staff of the revision to the NHDOE as soon as possible, but no later than 6 months from the date of this report.</p>	

Personnel

The Bureau of Special Education has reviewed Spaulding Youth Center personnel certifications using the New Hampshire Educator Information System. The review process was for educators employed during 2018 – 2019 school year.

The personnel roster that was provided by Spaulding Youth Center was compared to the data in the New Hampshire Educator Information System. Each personnel member’s endorsement was compared to the subject/assignment. This process was used for personnel that hold Beginning Educator Certification (BEC) and Experienced Educator Certification (EEC). If the endorsement was appropriate to the subject/ assignment then the renewal date of the endorsement was verified to ensure that the endorsement was current.

If there was a discrepancy between endorsement and the subject/assignment, the private provider was given an opportunity to verify the data. If the discrepancy could not be resolved a finding of noncompliance was made based on Personnel Standards pursuant to Ed 1114.10(a), 34 CFR 300.18, and 34 CFR 300.156.

Based on the review of Spaulding Youth Center's personnel certifications, the monitoring team determined there were **no findings of noncompliance.**

Approval Requirements

Each private provider must meet the requirements for special education program approval pursuant to The Individuals with Disabilities Education Improvement Act (IDEA) (2004), The New Hampshire Standards for the Education of Children with Disabilities, and New Hampshire State Statutes (RSA 186-C:5, RSA 189:64). If seeking nonpublic school approval

each private provider must meet the requirements of The New Hampshire Rules for the Approval of Nonpublic Schools (Ed 400, 2005).

The monitoring review for the approval of private provider special education programs includes an application with specified materials that must be submitted to the Bureau by October 15 in the year they are monitored.

Based on the review of the Spaulding Youth Center's application materials, the monitoring team determined there were **no findings of noncompliance**.

Monitoring of the Implementation of Special Education Process

Private providers are responsible for implementing the special education process in accordance with IDEA and the *New Hampshire Standards for the Education of Children with Disabilities*. The self-assessment data collection form highlights the private providers' understanding of the requirements of IDEA and the *New Hampshire Standards for the Education of Children with Disabilities* and was reviewed during the monitoring visit. Each area of compliance on the self-assessment data collection form clearly outlines whether the compliance is either a requirement of both IDEA and the *New Hampshire Standards for the Education of Children with Disabilities* or a requirement of solely the *New Hampshire Standards for the Education of Children with Disabilities*. The private provider cites the evidence of compliance in the self-assessment prior to the monitoring visit. During the monitoring visit, the monitoring team verified the evidence of compliance based on review of the student file, using the private providers' self-assessment as a resource. In the case of student specific finding(s) of noncompliance, the sending District is cited for noncompliance, as well as the private provider.

Based on this review, the Bureau of Student Support identified findings of noncompliance with IDEA and the *New Hampshire Standards for the Education of Children with Disabilities*. The findings include the citation, the area of noncompliance, and the required corrective actions, which include timelines for demonstrating correction of noncompliance. Student specific information will not be included in the report but will be provided to the private provider and, when appropriate, a district's Administrator of Special Education.

There are two main components to the corrective actions entitled, "*Corrective Action of Individual Instance of Noncompliance*" and "*Corrective Action Regarding the Implementation of the Regulations*". The first component, "corrective action of individual instance of noncompliance," is for any noncompliance concerning a child-specific requirement. There must be evidence that the private provider has corrected each individual case of noncompliance, unless the child is no longer placed at the program. These areas must be corrected as soon as possible with state timelines given in the report for each area. The Bureau will return to the program, typically within 3 months of the date of the report, to verify compliance for each individual instance identified in the report. The second component, "corrective action regarding the implementation of the regulations" would

typically involve the private provider's participating in professional development training to appropriate personnel with regards to areas found to be in noncompliance. The Bureau will review updated data collected after the identification of noncompliance to demonstrate that the program is correctly implementing the specific requirement. This involves a follow-up on-site review of new student files, selected typically within one year of the original on-site compliance & improvement monitoring.

Overview of the Student Specific Findings of Noncompliance

The chart below identifies the area of compliance based on student files that were reviewed by the compliance & improvement monitoring team during the onsite visit. The chart is broken down into the **compliance citations** and **area of compliance**. The compliance citations are based on the *CFR* found in the federal regulations of IDEA and the *Ed* found in *The New Hampshire Standards for the Education of Children with Disabilities*. The chart aligns the regulatory components to the numbered questions in the self-assessment. Regulatory components and self-assessment numbers are bolded in instances where noncompliance was noted by the compliance & improvement monitoring team.

The **review status** identifies the **number of files reviewed** for the self-assessment question as well as the number of files that were found to be in compliance. For example "5 out of 6 files demonstrated evidence that a copy of the procedural safeguards, available to the parents of a child with a disability, was given to the parent one time in the school year." This means that 6 files were reviewed and 5 files were found to be in compliance.

In cases where there was a finding of noncompliance for a particular student, the chart identifies the **First Stage Corrective Action of Individual Instance(s) of Noncompliance**. In the case of an individual instance of noncompliance, the corrective action would generally involve the IEP team convening to resolve the finding of noncompliance. Timelines for these corrective actions are also noted. For the First Stage Corrective Actions, the Bureau will return to the private provider program within 3 months following the program receiving written notification of noncompliance (the report) to review all student files in which there were findings of noncompliance in order to verify compliance with the corrective action stated in the report.

In cases where there was a finding of noncompliance for a particular student, the next section of the chart identifies the **First Stage Corrective Action Regarding the Implementation of the Regulation**. This section informs the private provider program of any practices or procedures which need to be corrected as well as trainings for personnel to inform them of the corrections as a result of the findings of noncompliance. The required corrective action for the program and a timeline for the corrective action is also provided.

In cases where there was a finding of noncompliance for a particular student, the final section of the chart identifies the **Second Stage Corrective Action Regarding the Implementation of the Regulation**. Identified in this section will be the number of new student files that will

be selected at the program to demonstrate correct implementation of the regulations for the section of the self-assessment in which noncompliance was found. For the Second Stage Corrective Actions, the Bureau will verify compliance through a subsequent on-site review of the new files within one year from the date of the report. **The total number of student files selected for the Second Stage Corrective Action Regarding the Implementation of the Regulation will not exceed the original number of files reviewed at the private provider program.**

Findings of Noncompliance

When determining compliance, the NHDOE reviews the currently agreed upon/signed IEP at the on-site monitoring visit. During the on-site monitoring visit there were **no files** which could not be reviewed for sections B(#2), D(#11-17), E(#18-22), F(#23), G (#24-26), H(#27), I(#29), J(#30-31), K(#32-41), L(#42), and M(#43-45) as there was no parent and/or LEA signature indicating consent / approval of the provisions of the IEP.

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
Ed 1114.05		A. Record of Access; Confidentiality Requirements
Self-Assessment Question Number & Regulatory Component		Review Status
1.	34 CFR 300.614 Ed 1119.01(a)	11 out of 11 IEP files demonstrated evidence of a record of parties that have obtained access to the education records collected, maintained or used under Part B of the Act, including the name of the party, the date access was given, and the purpose for which the party is authorized to use the records.

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.323 Ed 1109		B. Individualized Education Program
Self-Assessment Question Number & Regulatory Component		Review Status
2.	Ed 1109.04(a)	11 out of 11 IEP files demonstrated evidence that a copy of the IEP has been provided to each teacher and service provider listed as having responsibilities for implementing the IEP.
3.	34 CFR 300.324(b)(1)(i) Ed 1109.03(d)	11 out of 11 IEP files demonstrated evidence that the IEP was reviewed at least annually. <i>(No student files were of students with initial IEPs or moved from another state or district.)</i>
4.	34 CFR 300.323(a) Ed 1109.03(d)	11 out of 11 IEP files demonstrated evidence that the IEP was in place at the beginning of the school year. <i>(No student files were placed after beginning of school year)</i>

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.321 Ed 1103.01		C. IEP Team; Participants in the Special Education Process
Self-Assessment Question Number & Regulatory Component		Review Status
5.	34 CFR 300.321(a)(1) Ed 1103.01(a)	11 out of 11 IEP files demonstrated evidence that one or both of the parents are present at the IEP team meeting or are afforded the opportunity to participate
6.	34 CFR 300.321(a)(2) Ed 1103.01(a)	11 out of 11 IEP files demonstrated evidence that not less than one regular education teacher of the child (if the child is, or may be, participating in the regular education environment) participated in the meeting. <i>(No student files had regular education teacher(s) excused per 34 CFR 300.321(e).) (No student files were of students that are not and will not participate in the regular education environment.)</i>
7.	34 CFR 300.321(a)(3) Ed 1103.01(a)	11 out of 11 IEP files demonstrated evidence that not less than one special education teacher or, where appropriate, not less than one special education provider of the child participated in the meeting. <i>(No student files had special education teacher(s) or special education provider(s) excused per 34 CFR 300.321(e).)</i>
8.	34 CFR 300.321(a)(4) Ed 1103.01(a)	11 out of 11 IEP files demonstrated evidence that the IEP Team included an LEA representative.
9.	Ed 1103.01(d)	0 out of 0 IEP files demonstrated evidence that, if vocational, career or technical education components are being considered, the IEP team membership included an individual knowledgeable about the vocational education programs and/or career technical education being considered. <i>(11 student files were students for whom vocational education/CTE were not considered.)</i>
10.	Ed 1103.02(a),(c), (d)	11 out of 11 IEP files demonstrated evidence that the parent(s) received a written invitation no fewer than 10 days before an IEP meeting which included the purpose, time, location and identification of the participants or the parent agreed in writing that the LEA could satisfy this requirement via transmittal by electronic mail <u>or</u> demonstrated evidence of written consent of the parent(s) that the notice requirement were waived [Ed 1103.02(b)]. <i>(No student files were students for whom the written invitation is the responsibility of the LEA.)</i>

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.320		D. Individualized Education Program (Present Levels of Academic Achievement and Functional Performance)
Self-Assessment Question Number & Regulatory Component		Review Status
11.	34 CFR 300.324(a)(1)(i)	11 out of 11 IEP files demonstrated evidence that the team considered the strengths of the child.
12.	34 CFR 300.324(a)(1)(iv)	11 out of 11 IEP files demonstrated evidence that the team considered the academic, developmental, and functional needs of the child.
13.	34 CFR 300.324(a)(1)(ii)	11 out of 11 IEP files demonstrated evidence that the concerns of the parents for enhancing the education of their child were considered.
14.	34 CFR 300.324(a)(1)(iii)	11 out of 11 IEP files demonstrated evidence that the results of the initial or most recent evaluation of the child were considered.

15.	34 CFR 300.320(a)(1)(i)	11 out of 11 IEP files demonstrated evidence of a statement in the IEP that describes how the student's disability affects the student's involvement and progress in the general education curriculum. <i>(No student files were preschool age students.)</i>
16.	34 CFR 300.320(a)(4)(ii)	11 out of 11 IEP files demonstrated evidence of a statement in the IEP that describes how the student's disability affects non-academic areas.
17.	34 CFR 300.320(a)(1)(ii)	For preschool children, 0 out of 0 IEP files demonstrated evidence of a statement in the IEP that describes how the disability affects the child's participation in appropriate activities. <i>(11 student files were not of preschool age students.)</i>

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.324(a)(2)(i) Ed 1109.03(h)		E. Consideration of Special Factors
Self-Assessment Question Number & Regulatory Component	Review Status	
18.	34 CFR 300.324(a)(2)(i) Ed 1109.03(h)	When a child's behavior impedes the child's learning or that of others, 11 out of 11 IEP files demonstrated evidence that the team considered the use of positive behavioral interventions and supports, and other strategies, to address that behavior. <i>(No student files were not of students whose behavior impedes learning.)</i>
19.	34 CFR 300.324(a)(2)(ii) Ed 1109.03(h)	When a child demonstrates limited English proficiency, 0 out of 0 IEP files demonstrated evidence that the team considered the language needs of the child as those needs relate to the child's IEP. <i>(11 student files were not of students who demonstrated limited English proficiency.)</i>
20.	34 CFR 300.324(a)(2)(iii) Ed 1109.03(h)	When a child is blind or visually impaired, 0 out of 0 IEP files demonstrated evidence that the team provided for instruction in Braille and the use of Braille unless the IEP team determined, after an evaluation of the child's reading and writing skills, needs, and appropriate reading and writing media (including an evaluation of the child's future needs for instruction in Braille or the use of Braille), that instruction in Braille or the use of Braille was not appropriate for the child. <i>(No child was blind or visually impaired of the files reviewed.)</i>
21.	34 CFR 300.324(a)(2)(iv) Ed 1109.03(h)	11 out of 11 IEP files demonstrated evidence that the IEP Team considered the communication needs of the child, and in the case of a child who is deaf or hard of hearing, considered the child's language and communication mode, academic level, and full range of needs, including opportunities for direct instruction in the child's language and communication mode.
22.	34 CFR 300.324(a)(2)(v) Ed 1109.03(h)	2 out of 2 IEP files demonstrated evidence that the IEP Team considered whether the child needs assistive technology devices and services. <i>(9 student files were not of students who need assistive technology.)</i>

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
Ed 1109.01(a)(10)		F. Courses of Study
Self-Assessment Question Number & Regulatory Component		Review Status
23.	Ed 1109.01(a)(10)	For each student with a disability beginning at age 14 or younger, if determined appropriate by the IEP team, 3 out of 3 IEP files demonstrated evidence a statement of the transition service needs of the student under the applicable components of the student's IEP that focuses on the student's courses of study such as participation in advanced-placement courses or a vocational education, or career technical education.. <i>(8 student files were students aged 13 or younger who will not be turning 14 during the IEP period and no evidence the IEP team determined this is necessary.)</i>

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.320(a)(2)(i) Ed 1109.01(a)		G. Measurable Annual Goals; Short-term Objectives or Benchmarks
Self-Assessment Question Number & Regulatory Component		Review Status
24.	34 CFR 300.320(a)(2)(i) Ed 1109.01(a)(1)	11 out of 11 IEP files demonstrated evidence of a statement of measurable annual goals, including academic and functional goals.
25.	34 CFR 300.320(a)(2)(i)(A) Ed 1109.01(a)(1)	11 out of 11 IEP files demonstrated evidence that the measurable annual goals meet the child's needs that result from the child's disability to enable the child to be involved in and make progress in the general education curriculum as well as the child's other educational needs that results from the child's disability.
26.	Ed 1109.01(a)(6)	11 out of 11 IEP files demonstrated evidence of short-term objectives or benchmarks for all children unless the parent determines them unnecessary for all or some of the child's annual goals.

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
Ed 1109.01(a)(8)		H. Review and Revision of IEPs (Measuring Progress)
Self-Assessment Question Number & Regulatory Component		Review Status
27.	Ed 1109.01(a)(8)	11 out of 11 IEP files demonstrated evidence that the IEP includes a statement of how the child's progress toward meeting the annual goals shall be provided to the parents.

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
Ed 1114.06(b)		I. Responsibilities of Private Providers of Special Education or other Non-LEA Programs in the Implementation of IEPs
Self-Assessment Question Number & Regulatory Component		Review Status
28.	34 CFR 300.325(b) Ed 1109.05 Ed 1114.06(a);	For the purpose of initiating the process for all matters concerning possible changes and/or modification in the identification, evaluation, development and/or revision of an IEP or changes in placement of a child with a disability, 8 out of 8 IEP files demonstrated evidence that the private provider contacted the sending school district. <i>(3 student files had no changes in the child's identification, evaluation, development or revision of the IEP or placement)</i>
29.	Ed 1114.06(i), (j), (k)	11 out of 11 IEP files demonstrated evidence that a minimum of 3 comprehensive reports per year are completed on each child with a disability enrolled in the program.

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.323(d)(2)(ii) Ed 1109.03(a); Ed 1109.03(v); Ed 1102.01(b)		J. Accessibility of Child's IEP to Teachers and Others (General Accommodations and General Modifications)
Self-Assessment Question Number & Regulatory Component		Review Status
30.	Ed 1102.01(b)	If accommodations are included, 11 out of 11 IEP files demonstrated evidence that the accommodations are changes in instruction or evaluation determined necessary by the IEP team that do not impact the rigor, validity, or both of the subject matter being taught or assessed. <i>(No student files were students with no accommodations.)</i>
31.	Ed 1102.03(v)	If modifications are included, 7 out of 7 IEP files demonstrated evidence that the modifications are changes in instruction or evaluation determined necessary by the IEP team that impact the rigor, validity, or both of the subject matter being taught or assessed. <i>(4 student files were students with no modifications.)</i>

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.320(a) Ed 1109.01(a)(1); 1109.04(b)		K. Definition of Individualized Education Program (Special Education and Related Services, Supplementary Aids and Services, and Program Modifications or Supports for School Personnel)
Self-Assessment Question Number & Regulatory Component		Review Status
32.	34 CFR 300.320(a)(4) Ed 1109.01(a)(1)	11 out of 11 IEP files demonstrated evidence of a statement of special education.
33.	Ed 1109.04(b)(1)	11 out of 11 IEP files demonstrated written evidence documenting implementation of the IEP with regards to all special education services provided.
34.	34 CFR 300.320(a)(4) Ed 1109.01(a)(1)	11 out of 11 IEP files demonstrated evidence of a statement of related services. <i>(No student files were students for whom there was no evidence that the IEP team determined this is necessary.)</i>

35.	Ed 1109.04(b)(1)	11 out of 11 IEP files demonstrated written evidence documenting implementation of the IEP with regards to all related services provided. <i>(No student files were students for whom there were no related services in the IEP.)</i>
36.	34 CFR 300.320(a)(4) Ed 1109.01(a)(1)	0 out of 0 IEP files demonstrated evidence of a statement of supplementary aids and services. <i>(11 student files were students for whom there was no evidence that the IEP team determined this is necessary.)</i>
37.	Ed 1109.04(b)(2)	0 out of 0 IEP files demonstrated written evidence documenting implementation of the IEP with regards to any supplementary aids and services provided. <i>(11 student files were students for whom there were no supplementary aids and services in the IEP.)</i>
38.	34 CFR 300.320(a)(4) Ed 1109.01(a)(1)	4 out of 4 IEP files demonstrated evidence of a statement of the supports for school personnel. <i>(7 student files were students for whom there was no evidence that the IEP team determined this is necessary.)</i>
39.	Ed 1109.04(b)(4)	4 out of 4 IEP files demonstrated written evidence documenting implementation of the IEP with regards to supports for school personnel. <i>(7 student files were students for whom there were no supports for personnel in the IEP.)</i>
40.	34 CFR 300.320(a)(7) Ed 1109.01(a)(1)	11 out of 11 IEP files demonstrated evidence of a projected date for the beginning of the services and modifications described in the supports and services section of the IEP.
41.	34 CFR 300.320(a)(7) Ed 1109.01(a)(1)	11 out of 11 IEP files demonstrated evidence of the anticipated frequency, location, and duration of those services and modifications described in the supports and services section of the IEP.

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.320(a)(5) Ed 1109.01(a)(1)		L. Definition of Individualized Education Program (Justification for Non-Participation)
Self-Assessment Question Number & Regulatory Component		Review Status
42.	34CFR 300.320(a)(5) Ed 1109.01(a)(1)	11 out of 11 IEP files demonstrated evidence of an explanation of the extent, if any, to which the child will not participate with nondisabled children in the regular class and in the activities described in the supports and services section of the IEP.

COMPLIANCE CITATIONS		AREA OF COMPLIANCE
34 CFR 300.320(a)(6) Ed 1109.01(a)(1)		M. Definition of Individualized Education Program (State and District Wide Assessments)
Self-Assessment Question Number & Regulatory Component		Review Status
43.	34 CFR 300.320(a)(6)(i) Ed 1109.01(a)(1) RSA 193-C Ed 1114.05(k)	11 out of 11 IEP files demonstrated evidence of a statement of any individual appropriate accommodations that are necessary to measure the academic achievement and functional performance of the child on State and district wide assessments. <i>(No student files were of students for whom there were no state or district wide assessments for the student's age/grade level.)</i>

44.	34 CFR 300.320(a)(6)(ii)(A) Ed 1109.01(a)(1) RSA 193-C Ed 1114.05(k)	When the IEP Team determines that the child must take an alternate assessment instead of a particular regular State or district wide assessment of student achievement, 2 out of 2 IEP files demonstrated evidence of a statement of why the child cannot participate in the regular assessment. <i>(9 student files were of students not taking an alternate assessment.)</i>
45.	34 CFR 300.320(a)(6)(ii)(B) Ed 1109.01(a)(1) RSA 193-C Ed 1114.05(k)	When the child is taking an alternate assessment, 2 out of 2 IEP files demonstrated evidence describing why the particular alternate assessment selected is appropriate for the child. <i>(9 student files were of students not taking an alternate assessment.)</i>